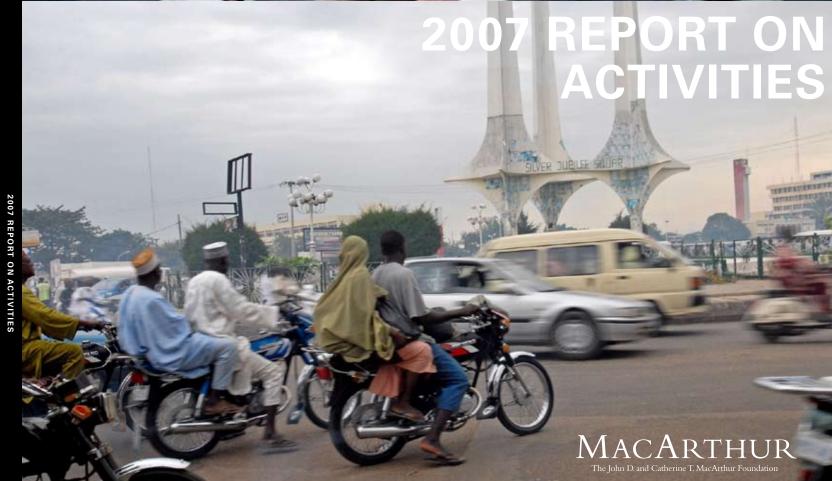


WORKING IN NATIO SINTRANSITION

THE JOHN D. AND CATHERINE T. MACARTHUR FOUNDATION

140 SOUTH DEARBORN STREET CHICAGO ILLINOIS 60603



MACARTHUR
The John D. and Catherine T. MacArthur Foundation

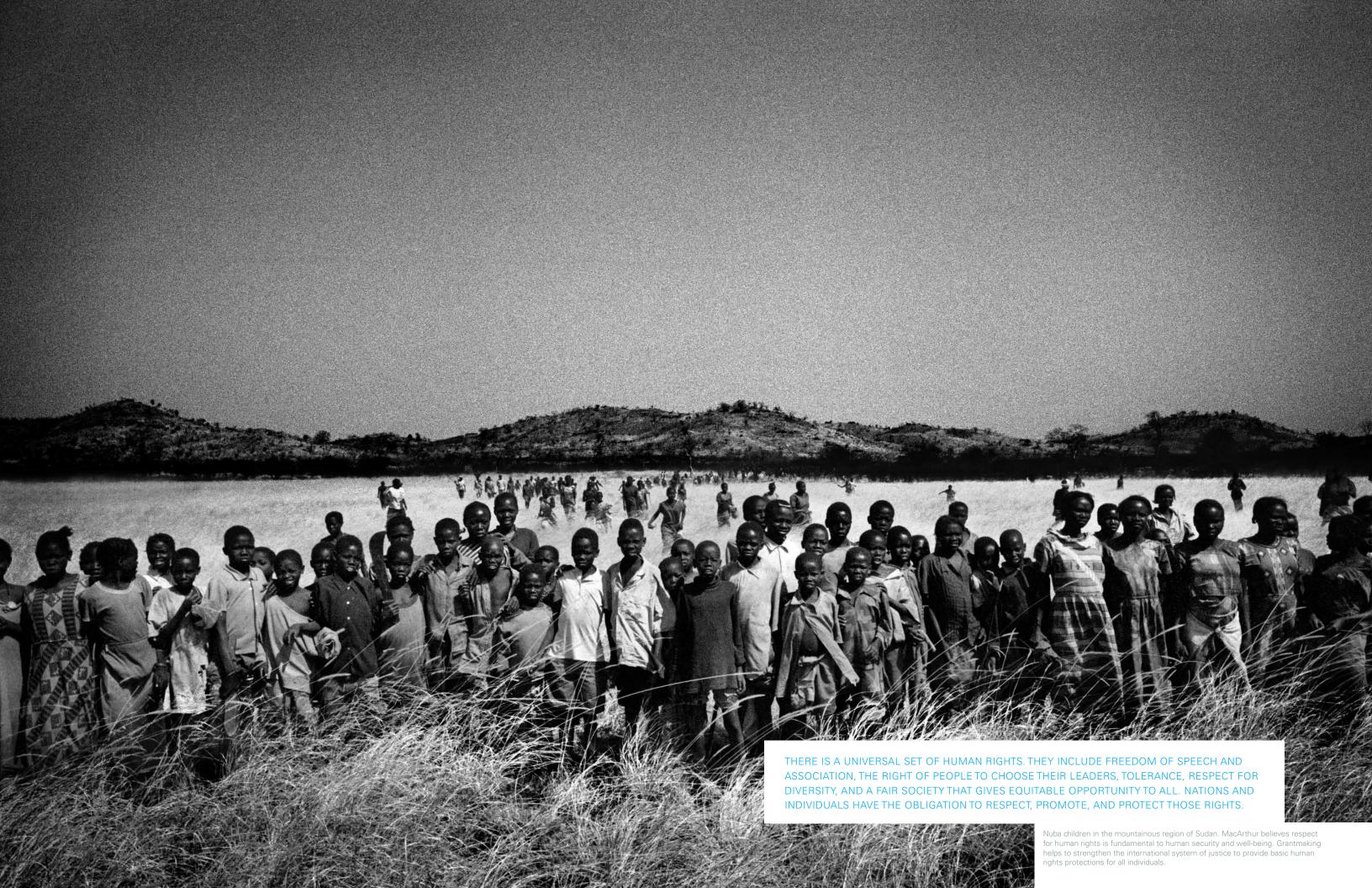
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Working in Nations in Transition

The MacArthur Foundation supports creative people and effective institutions committed to building a more just, verdant, and peaceful world. Learn more about our values and our work in the pages that follow.

Cover photos: Shenzhen, China and Lagos, Nigeria. China and Nigeria, two nations in transition, are among the 60 countries around the world where MacArthur supports creative people and effective institutions.











We are fundamentally optimistic, with the conviction that humankind can improve. We believe that creative and courageous individuals, working through strong civil society organizations, are essential to progress.

> We appreciate that change takes time, entails risks, requires an understanding of complex economic, social, and political forces.

President's Essay: Working in Nations in Transition

Mission statements for foundations are notoriously hard to craft: they are either too general or merely a list of current programs. And many sound alike. As the MacArthur Foundation approaches its 30th anniversary in 2008, we sought fresh language to describe who we are and what we do. We experienced firsthand the challenge of capturing the complex work of a foundation with many programs in a few words. Here is our best effort:

The MacArthur Foundation supports creative people and effective institutions committed to building a more just, verdant, and peaceful world. In addition to selecting the MacArthur Fellows, we work to defend human rights, advance global conservation and security, make cities better places, and understand how technology is changing children and society.

These values and more are illuminated through the 506 grants we made in the United States and 60 countries abroad during 2007. We are fundamentally optimistic, with the conviction that humankind can improve. We believe that creative and courageous individuals, working through strong civil society organizations, are essential to progress. MacArthur does not approach its work abroad through a single template of the good society; we seek to understand different cultures and historical contexts. We appreciate that change takes time, entails risks, and requires an understanding of complex economic, social, and political forces. We know that independent civil society institutions matter, but that well-run governments are of critical importance. We strive to make the geographic and programmatic reach of MacArthur's work an asset by developing the links among and within our programs.

In many places where MacArthur works, human rights and democratic values are contested or under threat. In 2007, two nations in which MacArthur has invested heavily—Russia and Nigeria—demonstrated the fragility of their democratic experiments. In Russia, President Vladimir Putin's centralization of executive power continued; his hand-picked successor, Dmitri Medvedev, was elected in a process that was widely criticized. In Nigeria, the presidential election was flawed, disappointing those who hoped the first handoff between presidents in a popular election would meet the standards of a mature democracy.

The MacArthur Foundation has made a fundamental choice to work in difficult settings in the belief that engagement is more effective than isolation. Has that been a wise decision? What do we aim to accomplish in environments that are challenging to our guiding principles? Does our work build toward a democratic future?

In the pages that follow, I reflect on how a private foundation approaches societies in transition and others where democracy is a distant dream.

Reconsidering the Transition Paradigm

In thinking about MacArthur's international work, I found the writing of Thomas Carothers helpful, particularly his essays "The End of the Transition Paradigm" and "How Democracies Emerge." 1

For a period, the concept of a "worldwide democratic revolution" or "third wave" of democracy was popular. It appeared to explain complex trends in the later 20th century such as the end of military dictatorships in Latin America, the collapse of communism in Eastern Europe and the Soviet Union, economic liberalization in China and East Asia, and the decline of the one-party state in parts of Africa.

The term "democratic transition" was used to describe societies in which authoritarian rule was undergoing changes that ranged from constitutional reform to complete upheaval.

Carothers describes the assumptions behind the term: democracy is inevitable; it will emerge following a predictable sequence of stages (a liberalizing ferment, collapse of the regime, consolidation of democratic norms under a representative government); elections will increase participation and accountability; democracy transcends the historical and cultural context; state-building is a secondary consideration, and will follow democracy.

It became commonplace to describe most societies undergoing rapid change as "in transition" to democracy and the free market. In fact, Carothers notes, of the almost 100 countries classified as "in transition" in recent years, fewer than 20 are becoming functional democracies; most of those are in Europe.

The large majority have entered what he calls a "political gray zone" characterized by both democratic and authoritarian features. Some are weak states with ineffectual multiparty systems controlled by self-serving elites. Others, while having opposition groups, are effectively dominated by a single party that deploys the state's resources to further its own interests.

The time has come, Carothers asserts, to abandon the paradigm and its preconceptions. It is simplistic, and flies in the face of experience, to assume that profoundly different societies will follow the same trajectory, or that elections will automatically produce genuine participation or government accountability. Strong elites, historical and economic legacies, and the strength of the state apparatus will all influence development. Where there is progress, it is seldom linear. Building an effective state is a fraught and lengthy process. And, I would add, one should not make elections that meet Western standards the principal litmus test.

But leaving a prescriptive model behind does not mean abandoning the goal of democracy. In his more recent essay, Carothers suggests a replacement for the transitional paradigm: democratic gradualism. "This new approach," he suggests "highlights the need for small but significant steps that create space and mechanisms for true political competition and point the way to an eventual end of the rulers' monopoly on power."² Among

The MacArthur **Foundation** has made a **fundamental** choice to work in difficult settings in the belief that engagement is more effective than isolation.

> We have never defined our central objective to be the promotion of Western-style democracy, even though we seek to advance human rights, a free press, intellectual freedom, and more open societies wherever we work.

these steps are the growth of independent civil society organizations, the establishment of proto-parties independent of the ruling party, local elections in which these entities can compete, and some room for independent media able to criticize those in power.

Strategies for Philanthropy

These observations have helped me put MacArthur's work in perspective. We have never defined our central objective to be the promotion of Westernstyle democracy, even though we seek to advance human rights, a free press, intellectual freedom, and more open societies wherever we work. Disappointed by flawed elections in our focus countries, we still see gains in the rule of law and the growth of civil society. And we are prepared to work in closed societies on issues like conservation where we can.

Looking back 30 years, our decisions about where to work were guided by our fields of interest. Our concentration on population and reproductive health led us to large countries with rapid demographic growth—such as India, Mexico, and Nigeria, where we established offices in the 1990s. We aimed to reduce the threat from weapons of mass destruction, and so engaged with nuclear states such as Russia and China. Our conservation program has taken us to eight regions around the world because they had high biodiversity under threat—places like Cuba, Nepal, Peru, and Rwanda.

As countries where we were involved became more open, we responded by expanding our scope: When the Soviet Union fell, we began a major program to strengthen higher education in Russia; when democratic rule returned to Nigeria, we added higher education and human rights to the population portfolio; as Mexico gave up one-party rule, we added a human rights initiative. In each country our aim was programmatic, not political.

With that said, we believe the work we do in strengthening civil society organizations, encouraging independent media, and supporting universities and independent think tanks does contribute to more open societies where individual rights are better respected. And we believe these steps contribute to a gradual evolution toward democracy.

Six principles guide our approach:

- We have a bias toward engagement, especially if we sense that positive change is under way or in prospect.
- We come to countries for clear strategic reasons—to address the environment, health, education, or rule of law.
- While political change is not our primary mission, we favor work that promotes transparent government, respect for individual rights and free speech, and other preconditions for healthy democracy.
- We believe in strengthening civil society, helping it engage with government agencies open to change. We are willing to work with governments even as we back organizations that are critical of political leadership.

¹ Thomas Carothers, "The End of the Transition Paradigm," Journal of Democracy 13:1 (2002) 5–21; "How Democracies Emerge; The 'Sequencing' Fallacy," Journal of Democracy 18:1 (2007) 12-27.

² Thomas Carothers, "Sequencing Fallacy," 26.

- We understand that progress toward democracy is rarely linear and should not be measured against any specific political model. Even when elections are flawed—or do not occur—the preconditions for a future democratic evolution can be planted and nourished.
- Change takes time, sometimes generations. So long as we see results in our programs and the political environment allows us to work, we are likely to stay the course.

MacArthur is fortunate to have a staff that understands and respects the cultures of the many countries where we work. Those qualities have enabled us to navigate challenging political and philosophical questions with very few missteps. And perhaps our practice of working with government as well as its fierce critics has earned us the benefit of the doubt, allowing us to push the boundaries of the permissible.

Let me now illuminate these principles and observations through our work in four countries.

Russia

Our efforts in Russia began in education, a response to the brain-drain and decline in universities that followed the collapse of communism and its institutions.

MacArthur believes that investing in higher education has both tangible and intangible benefits. Educating professionals and conducting research are essential to economic growth. But good universities are also places of broader intellectual formation in which young people are exposed to a range of views, learn to debate ideas and respect differences of opinion, and come to grasp the complexity of social realities. Universities are windows to a wider world, linked to peer institutions in other countries, a counter-balance to insularity and narrow thinking. Strong and independent universities are the seedbeds of healthy and stable democracies.

In partnership with the Ministry of Education and Science and the Carnegie Corporation, we started the Program on Basic Research and Higher Education in Russia (BRHE) that now underwrites twenty centers of scientific excellence at state universities from St. Petersburg to Vladivostok. A parallel program underwrites nine centers in the social sciences. MacArthur also supports three private universities, eleven independent think tanks, and three scholarly journals.

Our grants were crucial in a difficult period. Now the Russian government has embraced higher education as a key priority and is beginning to fund it more generously.3

The science centers have produced some remarkable results central to Russia's effort to build a more diversified economy. Metallurgists at Urals State University have developed "shape-memory" alloys ideal for medical products; Tomsk State University has produced nano-structured ceramics

We believe the work we do in society organizaindependent media, supporting universities and independent think tanks does open societies where individual rights are better



Police academy graduates in Stavropol, Russia. MacArthur's grantmaking in Russia supports police accountability, a key indicator of the level of human rights protection and respect for the rule of law.

strengthening civil tions, encouraging contribute to more respected.

³ The government has to date awarded \$1.2 billion to 57 universities through a competition recognizing universities for innovative educational programs. The awards are sizable—at an average of \$20 million, nearly doubling the budgets of some regional universities.

materials for aerospace machinery; and St. Petersburg State University is working on food crops with natural resistance to pests and diseases.

The private universities are producing graduates who are assuming key positions in the government—including the head of the presidential administration's Expert Directorate.

And the independent think tanks are tackling complex sometimes sensitive topics. I recently visited the Independent Institute for Social Policy in Moscow, whose researchers study higher education, healthcare reform, and the rise of Russia's middle class. I met with hundreds of Russian scholars in the course of the year who are going about their work freely and who interact with their counterparts across the world.

With our credentials in Russian higher education well established, MacArthur expanded into the human rights field. We tackled practical issues, such as police abuse, media freedom, the development of a regional human rights ombudsman system, and preparing cases to be brought to the European Court of Human Rights.

MacArthur gives grants to 60 groups across Russia, a network of civil society organizations dedicated to holding Russia accountable to its own constitution and international treaties it has signed.

Groups like Memorial, in Moscow; the Black-Earth Media Rights Center, in Voronezh; Sutiazhnik, in Ekaterinburg; and the Committee Against Torture, in Nizhny Novgorod have helped Russian citizens gain access to the European Court of Human Rights when they have been denied due process, abused by the police, or deprived of free expression. AGORA and the Human Rights Center in Kazan use domestic courts to seek redress for victims of torture and justice. And MacArthur's support for the St. Petersburg Strategy Center and Russia's Interregional Association of Ombudsmen helps strengthen a nationwide system that provides access to justice and recourse for ordinary citizens facing everyday problems like discrimination, loss of housing and jobs, or unfair treatment in the workplace.

We know that the requirements of Russia's NGO law impose significant reporting burdens, and a number of human rights activists have faced setbacks. Yet, with only a few exceptions, our grantees tell us that they are pursuing their human rights work unharassed. MacArthur remains alert to changes in Russia. Progress is not always linear. When and if new difficulties arise, we are careful to get the facts straight and seek workable solutions for our grantees.

MacArthur has not experienced any difficulty with the authorities. The government is well aware of all our grants. I recently met in the Kremlin with the Secretary of Russia's Security Council and presented him with a copy of our annual report. I pointed out the grantees that had been especially critical of the government but also reminded him of our partnership with the government in higher education.

Russia is not an open democracy. Although there is a small independent press and the Internet is wide open, major broadcast media outlets closely follow the Kremlin line. Elections are carefully managed and serious challenges to executive power are soon put down. But space remains for civil society and intellectual activity, independent institutions are being formed, and there are sympathetic government officials with whom to work on reforms.

Civil Society groups operate freely in Nigeria and their number is growing dramatically. Many are dedicated to strengthening the rule of law.

Educating professionals and conducting research are essential to economic growth.

If MacArthur's objective in Russia were to promote democracy in the near run, we would be disappointed. And we might redeploy our resources elsewhere. But we see progress toward our more limited goals, and believe people's lives and opportunities are better for these efforts. And we nourish the hope that the cumulative weight of our work will advance Russia's democratic development over the next generation or two.

Nigeria

In Nigeria, MacArthur maintains the same balance of working with government while also supporting its critics and working for reform.

Our program in higher education, allied to the Partnership for Higher Education in Africa, focuses on four leading universities: Ibadan, Ahmadu Bello, Bayero, and Port Harcourt. 4 We aim to help these institutions make the case for increased public investment, but our larger ambition is to demonstrate that Nigeria's universities can improve to meet international standards.

We have contributed to a new gas and petroleum Institute at Port Harcourt that is preparing Nigerians for highly skilled jobs in the energy sector, and a new Department of Agriculture at Bayero University training agricultural extension officers and forging partnerships with agribusiness. In all the universities, we have sponsored more bandwidth and new computer centers, improved libraries and science laboratories, and helped more faculty members earn their Ph.D.s. In a conversation with President Yar' Adua in the summer of 2007, I was pleased to hear him say that he would make strengthening higher education a priority.

Civil society groups operate freely in Nigeria and their number is growing dramatically. Many are dedicated to strengthening the rule of law. MacArthur has a particular interest in combating police abuse and improving the administration of justice through the two dozen groups it supports.

A sampling: Legal Defense and Assistance Project is training prosecutors to improve their handling of cases, the CLEEN Foundation seeks to better relations between the police and the community, Access to Justice tracks extrajudicial killings, Civil Liberties Organization and Human Rights Monitor investigate rights violations, and Baobab for Women's Human Rights and WRAPA have saved women accused of adultery from death by stoning and from lashings under Sharia law.

We have also worked with the government. We gave grants to the Federal Minister of Justice to review laws passed by the military dictatorship that conflict with the Constitution, to the National Human Rights Commission to develop an Action Plan, to the Working Group on the Administration of Criminal Justice to reform the treatment of pretrial inmates, and to the National Police for a community policy training center.

⁴ The Partnership includes MacArthur, the Carnegie Corporation, and the Ford and Rockefeller Foundations in a program to strengthen African universities. Each organization works in different countries and in different ways: Carnegie in Tanzania with Dar-es-Salaam University, Rockefeller in Uganda with Makerere University, and Ford in South Africa with the university system as a whole and in Mozambique with Eduardo Mondlane University



Onlookers observe a court proceeding in Dutse, Nigeria. MacArthur seeks to strengthen Nigeria's human rights legal architecture. The rise of
China has an
impact on all
our programs:
the environment,
population,
human rights,
migration, peace
and security.

There is much to criticize in Nigeria: flawed elections, violence in the Delta, endemic corruption. But I remain hopeful that incremental political change, a stronger civil society, and a new generation with higher expectations, will bring a more robust and mature democracy.

China

The rise of China has an impact on all our programs: the environment, population, human rights, migration, and peace and security. We think MacArthur needs to understand China through direct contact on the ground, and are considering opening an office in Beijing in 2009.

We have no illusions that our modest philanthropy will have an enormous impact in such a huge country. But we can both help and learn by building on our existing fields.

Our Peace and Security program currently funds six institutions in China working on arms control — including China's two most prestigious universities, Peking and Tsinghua — and policy institutes such as the China Foundation for International and Strategic Studies and the China Arms Control and Disarmament Association. Through our new Asia Security Initiative, we will be adding as many as six additional institutions. This network, including institutions in 15 nations across Asia, will seek a framework to strengthen international cooperation and prevent conflict in the region.

Since 2002, MacArthur has invested in building the capacity of the Gaoligongshan Nature Reserve in Yunnan Province, an area of 43,000 square miles within our Himalaya biodiversity hotspot. We work with the Baoshan Management Bureau, the Kunming Institute of Botany, and the Kunming Institute of Zoology to preserve this repository of southwest China's natural heritage and plan for ecologically sensitive economic development.

Even though China is a repressive regime, there are openings for work on rule-of-law issues. The Chinese government is eager to improve the quality of justice in the countryside, where local government officials often disregard the rights of ordinary people in job discrimination and property disputes, among other issues. We are assisting the Tsinghua Law School to educate those who train "barefoot lawyers" for their work in rural areas. And we are exploring the possibility of a juvenile justice initiative in China. A delegation from the Supreme People's Court will visit the Foundation to learn about its juvenile justice reform program in the United States.

While MacArthur pursues a nonconfrontational strategy, we also give grants to both Human Rights Watch and Human Rights in China, organizations that take on the cases of high-profile dissidents and tackle sensitive issues. Some foundations working in China have decided not to be involved with such groups. I understand that choice, but we have decided to take the risk. Time will tell whether we will be able to replicate our experience in Russia and Nigeria and sustain work in human rights as well as in our other areas.

The environment, better legal representation for the rural population, perhaps juvenile justice reform — these represent openings where MacArthur can help people here and now. Might these efforts contribute to a more democratic society in the future? Not any time soon is my best guess.



MacArthur's first conservation grants to China were made in 1991 to protect the forests of Yunnan Province.

Our optimism reflects the admiration we have for the work our grantees do every day, under difficult circumstances to build a more just, verdant, and peaceful world.

Cuba

Let me conclude with a discussion of our work in a still more closed society: Cuba. Under authoritarian rule since 1959, Cuba offers an important opportunity for our conservation program.

The Cuban natural environment is perhaps the most intact in the Caribbean. The government has assembled an impressive legal framework for preservation. It is party to, and respects, most international conservation treaties. Hundreds of conservation professionals have been trained, and the country has set aside vast areas for protection of biodiversity.

The coral reef archipelago on Cuba's south coast is the most pristine in the Western Hemisphere. Almost a quarter of the island retains its forest cover. Its populations of flamingo, crocodile, and rare mammals are generally in much better shape than elsewhere in the Caribbean.

When the U.S. embargo is eventually lifted, economic growth and unmanaged development could threaten conservation in Cuba. MacArthur is trying to prepare for that eventuality, despite the difficulties imposed under American law, which severely restricts our choice of partners and the nature of our support.

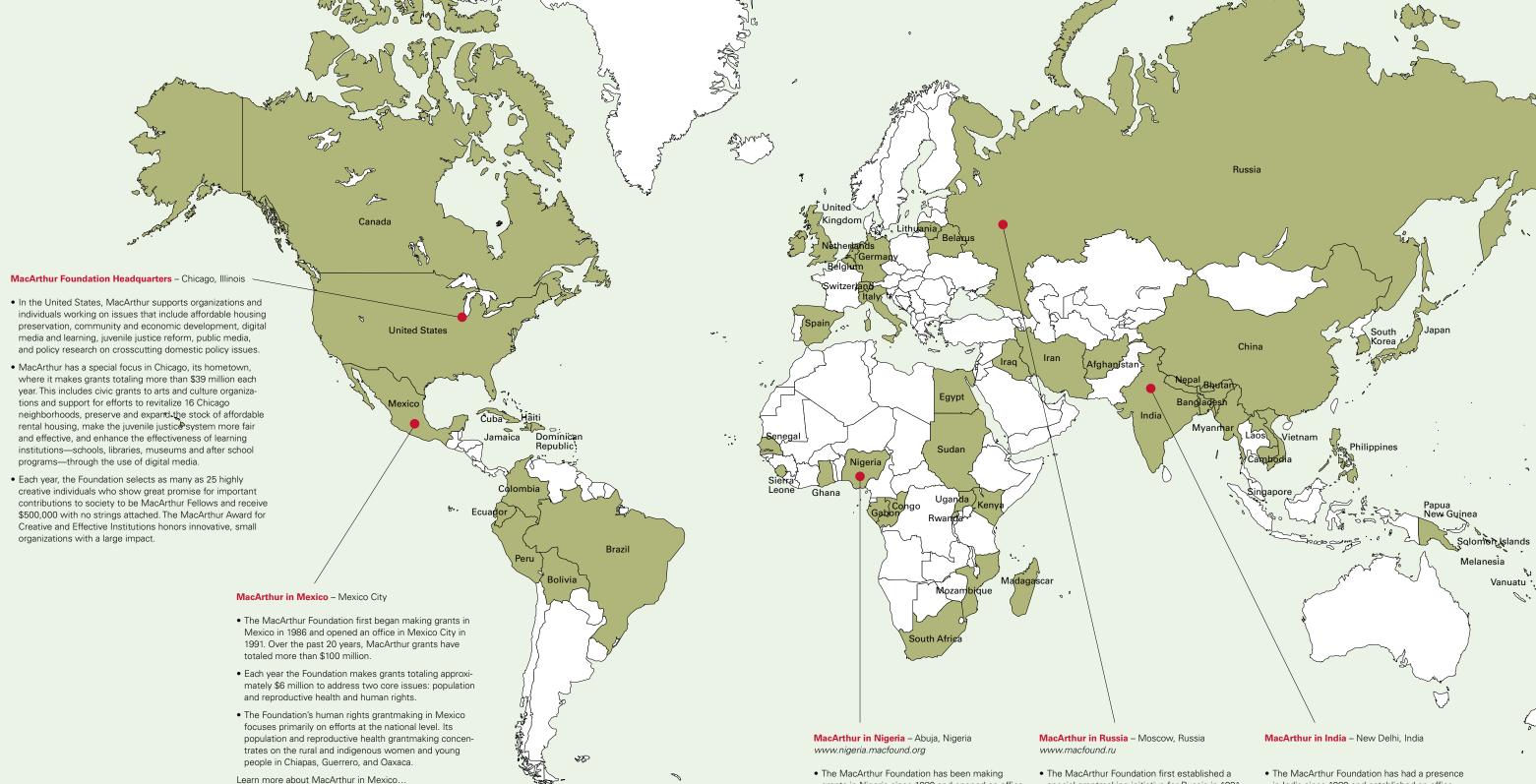
So far, we have given 24 grants (about \$4 million) to address short-term needs. We are building a platform for expanded work when U.S.-Cuban relations are normalized. Our grantees and foundation staff have established professional relationships with Cuban groups that include the University of Havana, the National Natural History Museum, the Ministry of Environment, the Eastern Center of Ecosystems and Biodiversity, and the Núñez Jiménez Foundation for Nature and Man.

Perhaps one day MacArthur will be able to expand further in Cuba. But we are confident that our current programs address a genuine need and accomplish worthwhile goals.

Russia, Nigeria, China, and Cuba are quite different situations. They are challenging places to work. In each we concentrate on specific issues — higher education in Russia, rule of law in Nigeria, conservation in Cuba and China — that require both engagement with governments and the support of civil society. While pursuing concrete goals for improvement, we believe our presence contributes to more open societies and lays the groundwork for more democratic governance. That optimism reflects the admiration we have for the work our grantees do every day, under difficult circumstances, to build a more just, verdant, and peaceful world.

Jonathan F. Fanton President

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A Global Foundation

Headquartered in Chicago, the MacArthur Foundation works in nearly 60 countries around the world and maintains offices in India, Mexico, Nigeria, and Russia.

- The MacArthur Foundation has been making grants in Nigeria since 1989 and opened an office in Abuja in 1994. Over the past 20 years, it has awarded grants totaling more than \$70 million for efforts in Nigeria.
- The Foundation's work in Nigeria falls into three categories: strengthening universities, advancing human rights and the rule of law, and improving the sexual and reproductive health of women and young people.
- MacArthur is committed to helping strengthen Nigeria's intellectual, scientific, and civil society as a means of helping to facilitate the consolidation of democracy and encouraging economic development.
- The MacArthur Foundation first established a special grantmaking initiative for Russia in 1991 and has maintained an office in Moscow since 1992. Since then, it has awarded grants totaling more than \$131 million for work in Russia.
- The Foundation's work in Russia centers on three areas: higher education and research, human rights and the rule of law, and international peace and security.
- The Foundation's human rights grantmaking in Russia is focused on organizations in Moscow and 10 regions across the country. Its higher education support is directed toward universities and research centers in Moscow, St. Petersburg, and 18 cities across Russia.
- The MacArthur Foundation has had a presence in India since 1989 and established an office there in 1994. Over the past 20 years, MacArthur grants have totaled more than \$40 million.
- The focus of MacArthur's grantmaking in India is to reduce maternal mortality and morbidity and to advance the sexual and reproductive health of its young people.
- Grants are made for work at the national level, and a special focus on efforts in Rajasthan, Maharashtra, and Gujarat, states that have a significant need for reproductive health information and services.

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MacArthur creates new fund to help small arts organizations in Chicago obtain the city's Performing Arts Venue license.

January 22

Under the motto "Good Books. Any Way You Want Them. Now." the MacArthur-supported Caravan Project releases its first 23 books in simultaneous print, digital, and downloadable audio formats.

February 6

MacArthur provides \$5 million to the Carnegie Endowment for International Peace to help develop the first multinational think tank.



February 8

"Do Video Games Help Kids Learn?," a panel discussion at Chicago's Newberry Library featuring three MacArthur grantees, draws a standing-room-only crowd of 350 people.



February 12

In a speech at New York University's Furhman Center for Real Estate and Urban Policy, MacArthur President Jonathan Fanton announces that the Foundation will invest \$25 million in new research that builds and deepens knowledge about the ways that housing matters to children, families, and communities.

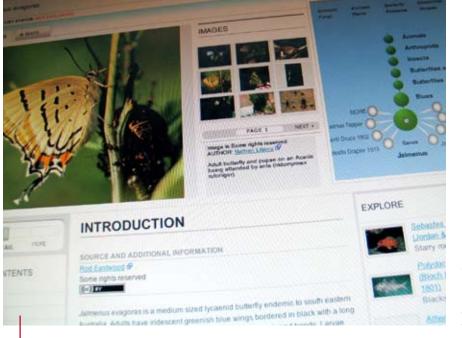
February 13

Recognizing that global warming is occurring and threatens biodiversity conservation, MacArthur makes an initial investment of \$5 million to identify and mitigate the threat from global climate change to plants and animals.

March 29

Eight organizations in six countries are selected for the second annual MacArthur Award for Creative and Effective Institutions.

Support for Chicago arts and culture organizations increases from \$4.5 million to \$5.5 million annually, with most of the new funding dedicated to smaller groups.



May 9

Many of the world's leading scientific institutions launch the Encyclopedia of Life, an unprecedented global effort to document on the Web all 1.8 million named species of life on earth. The undertaking is spurred by a \$10 million MacArthur grant.

May 15

The MacArthur-supported International Commission on Education for Development Professionals examines the creation of new, cross-disciplinary, graduate-level training programs that would integrate health and natural sciences, as well as social sciences, to help development professionals address the complex problems of extreme poverty and development in the 21st century.

May 18

The OpenNet Initiative, a partnership among Harvard's Berkman Center for Internet and Society and three other leading global universities, finds that 25 countries out of 41 surveyed block or filter Internet content.

May 22

MacArthur President Jonathan Fanton, joined by Chicago Mayor Richard M. Daley, announces a \$26 million investment to support the nation's largest community and economic development effort, which is focused on 16 of Chicago's lowest-income neighborhoods. MacArthur's grant will help generate \$500 million in new investment in these neighborhoods over the next five years and significantly improve the quality of life for residents.

May 31

Chicago-area real estate, finance, philanthropic, nonprofit and governmental leaders unveil the Preservation Compact, a joint effort to reverse dramatic losses in the region's affordable rental stock. The Preservation Compact is cochaired by Julia Stasch, MacArthur's vice president for Human and Community Development.

"We know that this is an important time of transition for Mexico and we are pleased to make common cause with talented individuals and organizations committed to building a democratic Mexico that distributes the fruits of economic growth fairly," according to MacArthur in Mexico: Report on Activities. The Foundation has supported 185 individuals and organizations in Mexico with grants totaling nearly \$70 million.



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June 6

An Americans for the Arts study, supported by MacArthur, finds that the nonprofit arts industry generates over \$1 billion of organization and audience expenditures in Chicago.

June 19

"Access to technology no longer is the central issue," writes MacArthur President Jonathan Fanton in an opinion-editorial published in the *St. Louis-Post Dispatch* and *Philadelphia Inquirer.* "The real gap between tomorrow's digital haves and have-nots will be a lag in competence and confidence in the fast-paced variegated digital universe building and breeding outside schoolhouse walls."

June 22

In a Second Life event featuring MacArthur President Jonathan Fanton's avatar, the Foundation launches an exploration of philanthropy in virtual worlds.

July 5

A \$5 million MacArthur grant allows the FRONTLINE documentary series and its companion international newsmagazine, FRONTLINE/World, to expand production and online presence, and explore new media distribution platforms.

July 14

MacArthur and HASTAC launch a public competition that will award \$2 million in funding to emerging leaders, communicators, and innovators shaping the field of digital media and learning.

August 22

"Juvenile justice is returning to its founding principles of protection, treatment, and rehabilitation, while embracing the equally important principles of accountability and public safety," write the governors of Illinois, Louisiana, Pennsylvania, and Washington in an opinion-editorial published in the *Christian Science Monitor*. "Change under way in our states and others appears to be the beginning of a new wave of reform." The governors represent the four core states in MacArthur's \$100 million Models for Change juvenile justice reform initiative.

September 7

Restoring the health of the Great Lakes will create \$50 billion in economic benefit for the region, according to a cost-benefit analysis conducted by MacArthur grantee the Brookings Institution Metropolitan Policy Program.





September 24

A MacArthur-supported study by Temple University and American University find that teachers are stymied in their efforts to teach critical thinking and media literacy because ignorance about copyright laws and fear of legal action keeps them from using ads, music, articles, and videos to develop innovative teaching materials.

September 25

The Foundation names 24 new MacArthur Fellows, including a biomedical scientist, a blues musician, a forensic anthropologist, an inventor, a medieval historian, and a spider silk biologist. All were selected for their creativity, originality, and potential.

October 3

MacArthur awards \$4 million for comprehensive community revitalization efforts in 10 U.S. cities based on the principles of Chicago's New Communities Program.

October 9

MacArthur brings together a distinguished group of scientists, legal scholars, jurists, and philosophers from two dozen universities to help integrate new developments in neuroscience into the U.S. legal system. The Law and Neuroscience Project, announced at the Federal Court House in New York City, is supported by an initial \$10 million MacArthur grant.

October 11

A new exhibit on the history and architecture of the MacArthur-owned Marquette Building, a Chicago landmark and one of the city's earliest skyscrapers, opens. The free exhibit, designed with assistance from the Chicago Architecture Foundation, also provides information on the work of MacArthur and its grantees.

October 19

At the Women Deliver conference in London, MacArthur President Jonathan Fanton announces an \$11 million commitment to reduce significantly maternal deaths due to postpartum hemorrhage in India and Nigeria. The centerpiece of this new effort is distribution of the anti-shock garment, a low-cost neoprene suit that helps stabilize women who are bleeding during childbirth.



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November 7

MacArthur plans to invest \$150 million to preserve and improve at least 300,000 units of affordable rental housing across the country by 2020, tripling a commitment announced four years ago.

November 9

"The vibrancy of any society depends on healthy institutions and avenues for civic engagement. MacArthur is proud to play a role in the revival of Russian philanthropic traditions," according to the newly published MacArthur in Russia: Report on Activities, which marks the 15th anniversary of the Foundation's Moscow office.

December 10

In a speech to the National Press Club, Foundation President Jonathan Fanton announces that MacArthur will honor former United Nations Secretary General Kofi Annan with the MacArthur Award for International Justice. The award provides Annan with \$100,000 for his own work and invites him to suggest an additional \$500,000 in support for nonprofit organizations working on international justice issues.

December 11

Two new MacArthur-supported surveys show strong public backing for the rehabilitation of youthful offenders and a greater willingness of taxpayers to pay for rehabilitative programs than for the incarceration of offenders in jail. These findings indicate support for an approach to juvenile justice that runs contrary to the increasingly punitive policies adopted across the country in the 1990s.

December 12

The MIT Press publishes a new series on digital media and learning supported by the MacArthur Foundation. The six-volume series examines the effect of digital media on how young people learn, play, socialize, and participate in civic life.

December 13

Latino Community Credit Union of Durham and ACCION Texas of San Antonio share \$8.25 million in investments as winners of a new prize presented by the Opportunity Finance Network, and funded by the Wachovia Foundation and MacArthur.



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Program on Global Security and Sustainability

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HUMAN RIGHTS International Human Rights Organizations Human Rights in Mexico Human Rights in Nigeria Human Rights in Russia

INTERNATIONAL JUSTICE Courts and Tribunals International Law and Norms National Responsibilities

38 International Peace and Security

Policy Research and Engagement Science, Technology, and Security

40 Conservation and Sustainable Development

Africa
Asia-Pacific
Latin America
Research and Development
Other Grants

43 **Population and Reproductive Health** India

Mexico Nigeria International

45 Higher Education

Russia Africa / Nigeria Partnership for Higher Education

47 Global Migration and Human Mobility

Anchor Institutions
Governance of International Migration
Migration and Development

Program on Global Security and Sustainability

The Program on Global Security and Sustainability seeks to protect the security and well-being of people and the environment around the world. To meet these objectives, the program supports efforts to encourage peace within and among countries, conserve global biological diversity, reduce maternal mortality and support responsible reproductive choices, protect human rights and strengthen the system of international justice, and foster cooperation on the development and governance aspects of global migration. MacArthur makes grants of over \$80 million annually in approximately 60 countries, with special emphasis on four countries in which the Foundation has offices: India, Mexico, Nigeria, and Russia. Activities in 2007 underlined the Foundation's commitment to address key global challenges of a dynamic world.

October marked a hallmark achievement for the Foundation's maternal health program with the announcement of an \$11 million investment package to counter postpartum hemorrhage, which accounts for approximately 30 percent of maternal deaths. The centerpiece of MacArthur's efforts is distribution in India and Nigeria of the anti-shock garment, a low-cost neoprene suit that helps stabilize women who experience complications during childbirth. These efforts are expected to contribute to the international push to meet the United Nations' maternal mortality reduction targets in Millennium Development Goal 5.

In the conservation area, the Foundation deepened its investments to identify and mitigate the threat from global climate change on species in the most diverse ecosystems of the planet, and supported projects in Africa, Asia, and Latin America to advance the concept of conservation in a social context.

MacArthur also supported the efforts of George Shultz, Sam Nunn, William Perry, and Henry Kissinger to outline in the United States and abroad the vision of a nuclear-free world. In addition, the Foundation began to focus its nuclear security efforts on bilateral and multilateral efforts to reduce reliance on nuclear weapons.

In December, the Foundation established the MacArthur Award for International Justice and announced the first recipient, former United Nations Secretary-General Kofi Annan.

MacArthur's Global Security and Sustainability Program also seeks to identify cross-cutting issues and innovative new ideas. In 2007, the Foundation supported the establishment of the International Commission on Education for Development Professionals, which will recommend a new, multidisciplinary approach to graduate education in development, in order to better address the complex problems of extreme poverty and development in the 21st century.

For additional information about MacArthur's programs and grantmaking guidelines, see the Foundation's website at www.macfound.org.

> Contact: Barry F. Lowenkron, Vice President

> > Program on Global Security and Sustainability bflowenkron@macfound.org / (312) 726-8000

Human Rights and International Justice

MacArthur seeks to strengthen and expand the human rights legal and conceptual framework worldwide through three interrelated funding streams. Funding is given to advance the international justice system, with an emphasis on key institutions such as the International Criminal Court and the Office of the High Commissioner for Human Rights, regional and sub-regional human rights courts and commissions, national justice systems, and emerging international norms such as the Responsibility to Protect. MacArthur is also dedicated to sustaining and expanding local, national, and international human rights organizations and to encouraging the implementation of laws and treaties that protect human rights. Grants support organizations that monitor governments' performance and accountability to constitutions and international treaties and to governmental initiatives that advance human rights protections. Finally, the Foundation's work includes three country-specific programs - Russia, Nigeria, and Mexico - where there is a special emphasis on building up national human rights commissions, promoting the incorporation of human rights law into domestic legal systems, and encouraging police reform, both to strengthen police performance and to prevent police abuse.

As of December 31, 2007, there were 142 active grants in the field of human rights and international justice totaling \$47.7 million. Those grants authorized in 2007 are listed below.

HUMAN RIGHTS

International Human Rights Organizations

Federation Internationale Des Ligues Des Droits De

L'Homme Paris, France

\$600,000 to facilitate victims' participation in the early cases of the International Criminal Court (over three years). www.fidh.org

Global Rights Washington, DC

\$135,000 in support of general operations. www.globalrights.org

Human Rights in China New York, NY

\$600,000 in support of work to advance human rights in China (over three years). www.hrichina.org

International Rescue Committee New York, NY

\$250,000 to support human rights reporting and post-conflict development activities (over three years). www.theirc.org

Physicians for Human Rights Cambridge, MA \$525,000 for general operating support (over two years). www.phrusa.org

Public Interest Projects New York, NY

\$36,000 in support of the International Human Rights Funders Group project (over three years).

Refugees International Washington, DC

\$300,000 in general support of reporting and policy advocacy on behalf of the displaced (over three years). www.refugeesinternational.org

United States Holocaust Memorial Museum Washington, DC

Human Rights in Mexico

Centro de Derechos Humanos Miguel Agustin Pro Juarez,

Mexico City, Mexico

\$300,000 to support the defense of paradigmatic cases of human rights violations in Mexico in national and international courts (over three years). www.sjsocial.org/PRODH

Centro de Derechos Humanos

Fray Francisco de Vitoria Mexico City, Mexico \$200,000 to support legal assistance, litigation, and monitoring of the National Human Rights Program (over three years)

Comision Mexicana de Defensa v

Promocion de los Derechos Humanos Mexico City, Mexico \$300,000 to support litigation on human rights in Mexico (over three years). www.cmdpdh.org

Due Process of Law Foundation Washington, DC \$180,000 to improve human rights in the state of Guerrero, Mexico (over three years). www.dplf.org

Human Rights Legal Assistance Mexico City, Mexico \$180,000 to improve human rights strategic litigation (over three years).

Instituto para la Seguridad y la Democracia

Mexico City, Mexico

\$650,000 to strengthen police accountability and for civil society capacity building (over three years). www.insyde.org.mx

Oficina de Defensoria de los Derechos de la Infancia

Delegacion Cuauhtemoc *Mexico*

\$240,000 to support the defense of human rights through public interest litigation in Mexican constitutional courts (over three years).

\$350,000 in support of the Crisis Mapping Initiative (over two years). www.ushmm.org

Red Nacional de Organismos Civiles de Derechos Humanos "Todos los Derechos para Todos" Mexico City, Mexico \$360,000 to strengthen human rights organizations and coordinate strategy for human rights activities in 20 Mexican states (over three years). www.redtdt.org.mx

Sociedad Mexicana Pro Derechos de la Mujer *Mexico City, Mexico* \$20,000 to support a human rights planning meeting to develop common strategies for advancing human rights in Mexico (over one year). *www.semillas.org.mx*

Human Rights in Nigeria

Alliances for Africa Lagos, Nigeria

\$200,000 in support of information, communication, and public advocacy on the African Court on Human and Peoples' Rights in Arabic (over three years). www.alliancesforafrica.org

CLEEN Foundation *Ikeja, Nigeria*

\$193,000 to conduct a national electoral survey. www.cleen.org

CLEEN Foundation *Ikeja, Nigeria*

\$231,000 to conduct a post-election national survey to measure public perceptions of the electoral process in the April 2007 general elections. www.cleen.org

Global Rights Washington, DC

\$100,000 to build the capacity of human rights organizations in Kano state to monitor, document, and report on human rights violations. www.globalrights.org

Harvard Law School

Berkman Center for Internet and Society *Cambridge, MA* \$50,000 to monitor Internet accessibility during the elections in Nigeria that were held in April 2007. www.cyber.law.harvard.edu/home

Legal Defence and Assistance Project Anthony Village, Nigeria \$450,000 to strengthen and reform the administration of criminal justice in six states in Nigeria (over three years). www.kabissa.org

Legal Research Initiative Abuja, Nigeria

\$275,000 to incorporate and apply the provisions and practices contained in the United Nations Convention Against Torture into the operations of law enforcement agencies in Nigeria (over three years).

National Human Rights Commission Abuja, Nigeria \$400,000 to implement the National Action Plan for the promotion and protection of human rights and to document and report on human rights violations in Nigeria (over three years). www.nigeriarights.gov.ng

Nigerian Bar Association Lagos, Nigeria \$300,000 to train and sensitize Nigerian lawyers on the use of regional and sub-regional mechanisms for human rights protection (over two years). www.nigerianbar.org

University of Ibadan Faculty of Law Ibadan, Nigeria \$250,000 to strengthen the Human Rights and Rule of Law program. www.ui.edu.na

Human Rights in Russia

All Russian Public Movement for Human Rights

Moscow, Russia

\$250,000 in support of work to promote human rights in Russia (over three years). www.zaprava.ru

Center for the Promotion of International Defense

Moscow, Russia

\$210,000 in support for a training program for lawyers in the jurisprudence of the European Court of Human Rights (over three years). www.ip-centre.ru

Dos'e na Tsenzuru Moscow, Russia

\$195,000 in support of a human rights journal and a quarterly publication covering human rights violations in the criminal justice and penitentiary systems (over three years). www.index.org.ru

Glasnost Defense Foundation Moscow, Russia

\$100,000 in support of a project titled, "Monitoring violations of mass media rights in the Russian Federation" (over one year) www.gdf.ru

Jurix Moscow, Russia

\$250,000 in support of activities to promote the institution of human rights ombudsmen in the Russian Federation (over three years).

Kazan Human Rights Center Kazan, Russia

\$180,000 in support of a long-term strategy to improve police performance and accountability (over three years).

www.investigation.ru

Moscow Helsinki Group Moscow, Russia

\$400,000 in support of a long-term strategy to strengthen the human rights movement in Russia through monitoring human rights and the development of a regional monitoring network (over two years). www.mhg.ru/english

Nizhny Novgorod Regional Non-Governmental Organization
Committee Against Torture Novgorod, Russia

\$270,000 in support of a long-term strategy to improve police performance and accountability (over three years).

Perm Regional Human Rights Center *Perm, Russia* \$225,000 in support of activities to improve police accountability (over three years).

PRIMA Human Rights News Agency Moscow, Russia \$225,000 in support of informational, policy research and training activities in Russia (over three years).

www.prima-news.ru

St. Petersburg Center of Humanities and Political Studies

Strategy St. Petersburg, Russia

\$300,000 in support of a project titled, "Development of the Institution of Regional Human Rights Ombudsman in the Russian Federation" (over three years). www.strategy-spb.ru

Women of the Don Regio Novocherkask, Russia

\$280,000 in support of activities to improve police performance and accountability in Novocherkassk (over three years).

INTERNATIONAL JUSTICE

Cluster Munition Coalition London, United Kingdom \$250,000 in support of work on cluster munitions. www.stopclustermunitions.org

Council on Foundations Arlington, VA

\$8,500 to research, produce, and publish a Uganda country note on the U.S. International Grantmaking website. www.cof.org

Harvard Law School

Berkman Center for Internet and Society *Cambridge, MA* \$450,000 to strengthen human rights work through the study of government use of the Internet to monitor citizens' online behavior (over three years). *www.cyber.law.harvard.edu/home*

Henry L. Stimson Center *Washington, DC* \$250,000 for developing military doctrine regarding protection

of civilians in conflict environments (over two years).

www.stimson.org

Human Rights Watch New York, NY

\$250,000 in support of work on cluster munitions. www.hrw.org

International Crisis Group Washington, DC

\$85,000 in support of a strategic assessment of Crisis Group activities. www.crisisweb.org

Courts and Tribunals

Agir Ensemble Pour Les Droits De l'Homme Lyon, France \$200,000 in support of the Campaign to Bring Hissène Habré to Justice (over two years). www.aedh.org

Cairo Institute for Human Rights Studies *Cairo, Egypt* \$96,000 in support of a project to build the capacities of Sudanese NGOs, lawyers, and journalists on the International Criminal Court. *www.cihrs.org*

Darfur Peace and Development Organization Washington, DC \$133,500 in support of research and advocacy on the conflict in Darfur. www.darfurpeace.org

Democratic Republic of Congo-Coalition for the International

Criminal Court Kinshasa, Congo

\$100,340 in support of activities to promote appreciation of the International Criminal Court among the Congolese population and to encourage national policies to complement the work of the Court (over two years). www.cn-cpi.cd

Global Justice Center New York, NY

\$10,000 for a project mapping activity of organizations working on gender and rule of law and International Criminal Court issues in the Sudan. www.globaljusticecenter.net

Insight Collaborative Boston, MA

\$190,000 to support radio programming on the International Criminal Court in the eastern part of the Democratic Republic of Congo. www.insightcollaborative.org

International Bar Association London, United Kingdom \$525,000 for a monitoring and outreach program on the International Criminal Court (over two years). www.ibanet.org International Bar Association London, United Kingdom \$73,000 in support of an evaluation and educational program on the International Criminal Court. www.ibanet.org

New York Historical Society New York, NY

\$20,000 in support of a photographic exhibition and advocacy on the crisis in Darfur. www.nyhistory.org

Stichting Institute for International Criminal Investigations

Foundation The Hague, Netherlands

\$175,000 in support of training and coordination (over two years). www.iici.info

Ugandan Coalition for the International Criminal

Court Kampala, Uganda

\$150,000 in support of grassroots outreach on the International Criminal Court. www.hurinet.or.ug

West African Bar Association Lagos, Nigeria

\$250,000 to promote and protect human rights through advocacy before the ECOWAS Community of Justice (over two years).

International Law and Norms

Population Council New York, NY

\$250,000 for research in Chad and Sudan on the protective role of education in humanitarian emergencies (over two years). www.popcouncil.org

Security Council Report

New York, NY

\$2 million (over three years)



Security Council Report issues monthly reports on the UN Security Council's upcoming agenda and frequent analysis and briefings on key issues. Publications inform Council members and other UN member states, as well as non-governmental organizations, the media, and the general public.

United Nations Office of the High Commissioner for Human Rights

Geneva, Switzerland www.ohchr.org

\$1 million (over two years)



Beginning in 1978 with a grant to Amnesty International, the Foundation has sought to create and sustain institutions that work in many parts of the world to increase the capacity to monitor and document human rights. This grant will help the OHCHR develop a Rapid Response Unit to dispatch monitors to nations where human rights protections are weak. Funding will also be used for a contingency fund to support emergency field work.

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University of Amsterdam

Amsterdam Center for International Law

Amsterdam, Netherlands

\$331,000 to advance the development of international law and its use in domestic courts in Africa (over three years). www.uva.nl

University of Pretoria

Centre for Human Rights Pretoria, South Africa \$69,000 to advance the development of international law and its use in domestic courts in Africa (over three years). www.chr.up.ac.za

World Federalist Movement—Institute for Global Policy

\$150,000 in support of a project to disseminate and exchange information on the Responsibility to Protect. www.wfm.org/index.php/base/main

National Responsibilities

Africa Legal Aid Accra, Ghana

\$120,000 to train judges in Ghana on the application of national and international human rights norms (over three years). www.afla.unimaas.nl

Democracy Coalition Project Washington, DC

\$350,000 in support of a cross-regional advocacy network dedicated to strengthening the new UN Human Rights Council (over two years). www.demcoalition.org

International Bridges to Justice Geneva, Switzerland \$300,000 to support the China Legal Defenders Program (over three years). www.ibj.org

International Peace and Security

The Foundation supports projects and institutions that address the threats and dangers posed by nuclear and biological weapons and the related national, regional, and global challenges facing security policymakers. Grantmaking for policy research is meant to help reduce arsenals and stockpiles, destroy or safeguard weapons materials, curb proliferation, and promote effective new approaches to national and international security problems, especially in Asia. Through its Science, Technology, and Security Initiative, the Foundation seeks to strengthen independent scientific and technical advice available to policymakers.

As of December 31, 2007, there were 65 active grants in the field of international peace and security totaling \$44.1 million. Those grants authorized in 2007 are listed below.

Policy Research and Engagement

American Association for the Advancement of Science Center for Science Technology and Security Policy

Washington, DC

\$420,000 in support of the Center for Science, Technology and Security Policy.

Atomic Heritage Foundation Washington, DC

\$250,000 in support of a play and book on nuclear disarmament (over 18 months). www.atomicheritage.org

Center for National Policy Washington, DC

\$250,000 to inform and engage Congress on reducing the risks posed by global stores of fissile material (over two years). www.cnponline.org

Center for Strategic & International Studies Washington, DC \$250,000 in support of a study of Asia-Pacific views on regional institutions (over two years).

www.csis.org/researchfocus/ProliferationPrevention

Center for Strategic and Budgetary Assessments

Washington, DC

\$750,000 to conduct independent assessments of emerging security challenges and the U.S. defense posture, and the cost, impact and programmatic implications of the wars in Iraq and Afghanistan (over two years). www.csbaonline.org

Henry L. Stimson Center Washington, DC

\$300,000 in support of the Space Security Project to advance an international code of conduct for responsible space-faring nations (over two years). www.stimson.org

Institute for Science and International Security

Washington, DC

\$250,000 to assess cases of illicit nuclear trade and determine effective ways to combat nuclear smuggling (over two years). www.isis-online.org

International Council for the Life Sciences Washington, DC \$250,000 in support of an interdisciplinary international forum that promotes biosecurity and biosafety standards and helps assess biological risks to international security from the misuse of the life sciences (over two years).

Nanyang Technological University

S. Rajaratnam School of International Studies Singapore \$55,000 in support of a meeting of experts on Asian security challenges. www.ntu.edu.sq

National Academy of Sciences Washington, DC \$250,000 to bring scientific and technical expertise to policymakers at the U.S. Department of State through the Jefferson Science Fellows Program (over two years).

Naval War College Foundation Newport, RI

\$290,000 to support a research project to identify policy options available to Northeast Asian powers for mitigating dangers from North Korea's acquisition of nuclear weapons (over 18 months). www.nwcfoundation.org

Nuclear Threat Initiative Washington, DC \$250,000 in support of a project to advance the vision of a nuclear-free world. www.nti.org

Stanford University

Center for International Security and Cooperation Stanford, CA \$500,000 in support of work on Northeast and South Asian security (over two years). www.siis.stanford.edu

Stanford University

Hoover Institution on War, Revolution and Peace Stanford, CA \$500,000 to a joint effort with the Nuclear Threat Initiative to rekindle the vision of Ronald Reagan and Mikhail Gorbachev for a world free of nuclear weapons, including practical steps toward that goal (over 18 months).

Science, Technology, and Security

Analytical Center for Non-Proliferation Sarov, Russia \$200,000 in support of work on worldwide spent fuel and radioactive waste management and ways to achieve a low-waste nuclear fuel cycle (over two years). www.npc.sarov.ru/english

Center for Media and Security Millwood, NY

\$160,000 to bring science, technology, and security policy issues to the attention of national, foreign, and regional media (over two years).

Center for Policy Studies in Russia-PIR Center

Moscow, Russia

\$700.000 in support of the journal Security Index and a program of training for the next generation of security experts in Russia and the countries of the former Soviet Union (over three years). www.pircenter.org

Darmstadt, University of Technology

Interdisciplinary Research Group, Science, Technology

and Security Darmstadt, Germany

\$128,000 to coordinate a research network on technologies to detect nuclear material production (over two years). www.tu-darmstadt.de/Welcome.en.html

Nautilus Institute San Francisco, CA

\$1,050,000 in support of an East Asian network of scientists undertaking collaborative research on international security issues (over three years). www.nautilus.org

Union of Concerned Scientists Cambridge, MA \$650,000 to provide policymakers and the public with scientific information and analysis related to proliferation and other risks associated with nuclear power, U.S. nuclear weapons policy, and China security issues (over two years). www.ucsusa.org

United Nations Office for Disarmament Affairs

New York, NY

\$2 million (over 18 months)



With the dismantling of the United Nations Monitoring. Verification and Inspection Commission (UNMOVIC), the UN stands to lose critical technical expertise on the development of weapons of mass destruction and their proliferation. This grant will provide bridge funding to retain this expertise by transferring key staff positions from UNMOVIC to the Office of Disarmament Affairs.

University of Georgia Research Foundation Athens, GA \$250,000 to strengthen Chinese companies' awareness of and responsiveness to nonproliferation controls, in partnership with the China Arms Control and Disarmament Association (over two years).

Conservation and Sustainable Development

MacArthur is dedicated to conserving biodiversity and enhancing knowledge of how natural resources can be used sustainably over the long term. Grants focus on eight tropical bio-geographic zones, chosen for their richness of species diversity, endemism, and level of threat. Regions include the northern and the southern Andes, Insular Caribbean, the Albertine Rift, eastern Himalayas, Madagascar, Lower Mekong, and Melanesia. MacArthur also funds projects to build and strengthen the conservation capacity of local, regional, and national organizations; to research tradeoffs between conservation goals and human needs; and to assess the impacts of climate change on biodiversity.

As of December 31, 2007, there were 166 active grants in the field of conservation and sustainable development totaling \$62.2 million. Those grants authorized in 2007 are listed below.

Africa

American Museum of Natural History New York, NY \$300,000 to support curriculum development and training for universities and conservation professionals in Madagascar (over three years). www.amnh.org

Asity Antananarivo, Madagascar

\$200,000 in support of conservation of the Mangoky-Ihotry complex and building the capacity of a Malagasy NGO (over three years). www.asity.org

Blue Ventures Conservation London, United Kingdom \$280,000 in support of community-managed coastal and marine protected areas in southwest Madagascar (over three years). www.blueventures.org

Centre Ecologique Libanona Fort Dauphin, Madagascar \$115,000 to establish field-based training programs in southern Madagascar (over three years). www.libanona.com

Development and Environmental Law Center

Fianarantsoa, Madagascar

\$270,000 to apply public interest law with environmental law to improve community governance and management of natural resources in Madagascar (over three years).

Fauna & Flora International Washington, DC

\$50,000 in support for the protection of mountain gorillas in Virunga National Park, Democratic Republic of Congo. www.fauna-flora.org

Field Museum Chicago, IL

\$325,000 to assess the impact of climate change on the elevational distribution of Malagasy land vertebrates and to build capacity in conservation biology (over three years). www.fieldmuseum.org

Groupe D' Etudes Et De Recherche Sur Les Primates De

Madagascar Antananarivo, Madagascar

\$125,000 in support of primate research and conservation planning in Makira Protected Area by a Malagasy NGO (over three years).

Missouri Botanical Garden St. Louis, MO

\$300,000 to assess impacts and mitigate consequences of climate change upon Madagascar's plants (over three years). www.mobot.org

Tany Meva Foundation Antananariyo, Madagascar \$350,000 to strengthen the Trust Fund capacity to support community management of conservation areas (over three years). www.tanymeva.org.mg/homeenglish.html

Universite De Toliara

Institut Halieutique Et Des Sciences Marines Toliara, Madagascar \$150,000 to establish field-based training sites for marine protected areas (over three years).

University of Antananarivo

Department of Forestry Antananarivo, Madagascar \$300,000 to increase understanding of the types and qualities of governance for protected area management in Madagascar (over three years).

University of California

Department of Environmental Science, Policy & Management

Berkelev. CA

\$50,000 to expand scientific research for conservation decision making in Madagascar using the REBIOMA database (over three years). www.espm.berkeley.edu

Wildlife Conservation Society Bronx, NY

\$230,000 to expand scientific research for conservation decision making in Madagascar using the REBIOMA database (over three years). www.wcs.org

Wildlife Conservation Society Bronx, NY

\$500,000 to consolidate an integrated strategy for conservation and sustainable natural resource use in Madagascar's Antongil Bay Landscape (over three years). www.wcs.org

Wildlife Conservation Society Bronx, NY

\$300,000 to research coral reef vulnerability and resilience to climate change in order to guide the establishment of marine protected areas in Madagascar (over three years). www.wcs.org

Wildlife Conservation Society Bronx, NY

\$100,000 to enhance biodiversity conservation, ecosystem health, and human livelihoods in the protected areas of the Greater Limpopo Trans-frontier Conservation Area and the agro-pastoral systems that surround them. www.wcs.org

World Wide Fund for Nature

Madagascar and West Indian Ocean Programme Office

Antananarivo, Madagascar

\$300,000 to create resilient marine protected areas in the northwest and southwest regions of Madagascar (over three years). www.panda.org

Asia-Pacific

American Museum of Natural History New York, NY \$250,000 to develop protected area capacity in the Solomon Islands www.amnh.org

Center for Environmental Law and Community Rights

Boroko, Papua New Guinea

\$225,000 to defend and protect communal land, sea, and natural resources through legal and policy tools (over three years). www.celcor.org.pg

Community Conservation Network Honolulu, HI

\$250,000 to enhance the ability of locally managed marine areas to develop and operate efficiently for greater conservation impact in New Guinea (over three years). www.conservationpractice.org

Environmental Defender's Office Limited Sydney, Australia \$250,000 to provide litigation support and technical assistance for protecting Papua New Guinea's customary landowners (over three years). www.edo.org.au

Foundation for the Peoples of the South Pacific

International Suva, Fiji

\$350,000 to expand community-based coastal resources management approaches in the Solomon Islands and Vanuatu (over three years). www.fspi.org.fj

Live and Learn Environmental Education — South Pacific Suva, Fiji

\$225,000 to develop conflict management models to advance sustainable management of biodiversity in the Solomon Islands (over three years).

Marine Aquarium Council Honolulu, HI

\$250,000 to implement industry standards and a certification process for the aquarium fish trade in a manner that supports conservation, resource management, and sustainable livelihoods (over three years). www.aquariumcouncil.org

Nature Conservancy Arlington, VA

\$325,000 to strengthen marine conservation in the Solomon Island Archipelago (over three years). www.nature.org

SeaWeb Silver Spring, MD

\$225,000 to enhance ocean conservation in Fiji by expanding the reach of lessons learned from the Locally Managed Marine Area Network (over three years). www.seaweb.org

Secretariat of the Pacific Community

Noumea Cedex. New Caledonia

\$350,000 to promote national government policies and effectivness in fisheries management that meet local needs for sustainable marine resource use across the region (over three years). www.spc.int

Secretariat of the Pacific Regional Environment Programme,

Apia, Samoa

\$165,000 to strengthen the capacity of national government and local communities in Vanuatu to respond more effectively to climate change impacts in coastal areas (over three years). www.sprep.org.ws

University of Queensland

School of Engineering Brisbane, Australia

\$250,000 to develop and field test community management systems to protect the coral reefs and marine ecosystems of Marovo Lagoon in the Solomon Islands (over three years). www.ug.edu.au

University of the South Pacific

Institute of Applied Sciences South Pacific Regional Herbarium

Suva, Fiji

\$800,000 to develop and implement successful communitybased marine management in the South Pacific region by acting as the focal point for the Locally Managed Marine Area Network (over three years). www.usp.ac.fi

World Wide Fund for Nature

WWF South Pacific Programme Suva. Fiii

\$350,000 to enhance the ability of locally managed marine areas in Fiji and the Solomon Islands to develop and operate efficiently and independently as a model for greater conservation impact across Melanesia (over three years). www.wwfpacific.org.fj

Yunnan Nationalities University Kunming, China \$25,000 to collect and document information on traditional ethnic design and clothing manufacture of ethnic minority groups in Yunnan Province, China. www.ynni.edu.cn

Amazon Alliance for Indigenous and Traditional Peoples of the Amazon Basin Washington, DC

\$145,000 to build indigenous capacity to conserve territories, protected areas, and livelihoods threatened by extractive industries in Colombia, Ecuador, and Peru (over three years).

Caribbean Natural Resources Institute

Port of Spain, Trinidad, and Tobago

\$350,000 to strengthen governance and effectiveness of key civil society conservation organizations in the Caribbean (over three years). www.canari.org

Cornell University

Cornell Laboratory of Ornithology Ithaca, NY

\$475,000 in support of training and monitoring for conservation and management of natural resources in Cuban protected areas (over three years). www.birds.cornell.edu

Counterpart International Arlington, VA

\$350,000 to protect coastal marine biodiversity in Monte Cristi National Park, Dominican Republic (over three years). www.counterpart.org

Environmental Law Alliance Worldwide Eugene, OR

\$300,000 to build the capacity of public interest lawyers in the Insular Caribbean to protect the region's threatened biodiversity (over three years). www.elaw.org

Environmental Law Institute Washington, DC

\$200,000 to develop judicial education programs on biodiversity conservation in Hispaniola and Jamaica (over three years). www.eli.org

Fairchild Tropical Garden Miami, FL

\$270,000 in support of a plant conservation strategy for the Cockpit Country of Jamaica (over three years). www.fairchildgarden.org

Fundacion Ecuatoriana de Estudios Ecologicos EcoCiencia

\$55,000 to support a workshop on decentralization of natural resource management governance in Latin America. www.ecociencia.org/portal

Grupo Jaragua Santo Domingo, Dominican Republic \$345,000 to conserve the Jaragua-Bahoruco-Enriquillo Biosphere Reserve in the Dominican Republic (over three years). www.geocities.com/grupojaragua/index_english.htm

New York Botanical Garden Bronx, NY

\$300,000 to support plant conservation and sustainable management of the Jaragua-Bahoruca-Enriquillo Biosphere Reserve, Dominican Republic (over three years). www.nybg.org

University of the West Indies, Mona

Department of Life Sciences Kingston, Jamaica \$250,000 for applied research that contributes to the conservation of Cockpit Country and Black River Morass, Jamaica (over three years).

Wildlife Conservation Society Bronx, NY

\$300,000 to conserve the Ciénaga de Zapata wetlands and build capacity for management of Cuba's protected areas system (over three years). www.wcs.org

Wildlife Trust New York, NY

\$150,000 to strengthen technical capacity and policy frameworks for marine conservation in Cuba (over three years). www.wildlifetrust.org

Windsor Research Centre Trelawny, Jamaica \$320,000 to establish economic incentives for and strengthening community involvement in conservation of the Cockpit Country and Martha Brae Watershed, Jamaica (over three years). www.cockpitcountry.com

World Resources Institute Washington, DC \$300,000 to implement economic valuation of goods and services in coastal marine habitats in Jamaica and Dominican Republic (over three years). www.wri.org

Research and Development

Inter-American Institute for Global Change Research

Sao Jose dos Campos Sao Paulo, Brazil

\$450,000 to assess climate change impacts on biodiversity in the Andes (over two years). www.iai.int

International Centre for Integrated Mountain Development

Kathmandu Nepal

\$150,000 to assess climate change vulnerability of mountain ecosystems in the eastern Himalaya. www.icimod.org

International Union for the Conservation of Nature

Washington, DC www.iucn.org/places/usa/

\$250,000 (over two years)



A serious threat to coral reefs worldwide is bleaching linked to rising water temperatures caused by climate change. This grant will develop tools that marine scientists and park managers can use to monitor and predict coral resilience to bleaching. The indicators will be developed and tested in key sites in Melanesia, Madagascar, and the Caribbean. This project is one of 18 grants, totaling nearly \$4 million, made in the last two years to help adapt conservation strategies to the growing impact of climate change on biodiversity.

Wildlife Conservation Society Bronx, NY

\$250,000 to assess the impacts of climate change on biodiversity and ecosystem services in the Albertine Rift region (over two years). www.wcs.org

World Wildlife Fund Washington, DC

\$250,000 to assess climate change vulnerability and impact of the freshwater and forest ecosystems in the Lower Mekong focal area (over two years). www.worldwildlife.org

World Wildlife Fund-Canada Toronto, Canada

\$250,000 to develop a species-centered approach to understanding adaptation to climate change in the Insular Caribbean (over three years). www.wwf.ca

Other Grants

Churchill Northern Studies Centre Churchill, Canada \$150,000 to create a Centre of Excellence for Northern Research and Education (over two years) www.churchillscience.ca

University of Winnipeg Winnipeg, Canada \$120,000 in support of community action and academic research to address climate change. www.uwinnipeg.ca

School of Forestry and Environmental Studies New Haven, CT \$500,000 to create Yale Environment Online (over three years). www.yale.edu

Population and Reproductive Health

MacArthur grantmaking reflects a comprehensive approach to reproductive and sexual health and rights, one that places women's well-being at the center of population policy and emphasizes the rights of individuals to determine and plan family size. Through offices in India, Mexico, and Nigeria, the Foundation makes grants that support efforts to reduce maternal mortality and morbidity and to encourage responsible sexual behavior by adolescents. Other grants support international and regional organizations in population and reproductive health and research on important issues in the field.

As of December 31, 2007, there were 133 active grants in the field of population and reproductive health totaling \$52.4 million. Those grants authorized in 2007 are listed below.

India

Centre for Development and Population Activities

Washington, DC

\$200,000 to support an alliance for advocacy to reduce maternal mortality and morbidity in the Foundation's priority states of Rajasthan and Maharashtra (over two years). www.cedpa.org

Creating Resources for Empowerment and Action

New York, NY

\$25,000 to translate and publish two editions of the journal Reproductive Health Matters in Hindi (over two years). www.creaworld.org

Federation of Obstetric and Gynecological Societies of India

Mahapalika Marq, India

\$185,000 to prepare general practitioners to provide quality emergency obstetric care in rural areas of India (over two years). www.fogsi.org

JHPIEGO Baltimore, MD

\$185,000 to develop the training capacity of Federation of Obstetrics and Gynecological Societies of India to prepare general practitioners to provide quality emergency obstetric care in rural areas of India (over two years). www.jhpiego.org

Foundation for Education and Development Jaipur, India \$50,000 in support of a young people's reproductive health and sexual health program in rural Rajasthan. www.doosradashak.org

Mahila SEWA Trust Ahmedahad, India

\$200,000 to scale up health insurance to reduce maternal mortality and morbidity in Gujarat and nationally (over three years). www.sewa.org

Population Council New York, NY

\$100,000 to develop leadership skills of individuals working in reproductive and sexual health, especially in areas of young people and maternal mortality and morbidity in India. www.popcouncil.org

Public Health Foundation of India New Delhi, India \$50,000 to produce and disseminate a special series in The Lancet on Health for All in India and an expert consultation on youth health programs in India. www.phfi.org

Society for Education Welfare and Action - Rural Bharuch, India \$200,000 to test model interventions to reduce maternal mortality and morbidity in the state of Gujarat (over three years). www.sewarural.org

Talking About Reproductive and Sexual Health Issues

New Delhi, India

\$65,000 to publish and disseminate two books to advance young people's sexual and reproductive health and rights in India (over two years). www.tarshi.net

Mexico

Afluentes Mexico Citv. Mexico

\$235,000 to develop teacher training programs for sexuality education (over three years). www.afluentes.org

Centro de Investigaciones en Salud de Comitan Comitan, Mexico \$235,000 to develop educational tools for the prevention of maternal mortality in Chiapas and municipal outreach activities (over three years).

Chiltak San Cristobal de las Casas, Mexico

\$150,000 to improve youth sexual and reproductive health and rights in the state of Chiapas (over three years).

ELIGE, Red de Jovenes por los Derechos Sexuales y

Reproductivos Mexico City, Mexico

\$150,000 to improve youth sexual and reproductive health and rights in Mexico (over three years).

Family Care International New York, NY

\$30,000 to translate recently published articles on maternal mortality into Spanish and disseminate them in Mexico and Latin America. www.familycareintl.org

Ipas Chapel Hill. NC

\$300,000 in support of increasing the availability and improving the quality of legal abortion services (over three years). www.ipas.org

Letra S. Sida, Cultura v Vida Cotidiana Mexico City, Mexico \$250,000 to increase media coverage of youth reproductive and sexual health issues in Mexico (over three years). www.letraese.org.mx/index.htm

Marie Stopes Mexico San Cristobal de las Casas, Mexico \$150,000 to improve youth sexual and reproductive health and rights in the state of Chiapas (over three years). www.mariestopes.org.uk/ww/mexico.htm

Red Ciudadana Feminista de Mexico Region Centro

Santiago de Queretaro, Mexico

\$80,000 to improve access to legal abortion services in central Mexico (over two years).

Sociedad Mexicana Pro Derechos de la Mujer

Mexico City, Mexico

\$25,000 in support of the 7th International Conference on HIV/AIDS. www.semillas.org.mx

Nigeria

Action Health, Incorporated Yaba, Nigeria \$430,000 in support of Family Life HIV/AIDS Education and pre-service training of teachers in Nigeria (over three years). www.actionhealthinc.org

BAOBAB for Women's Human Rights Lagos, Nigeria \$400,000 to promote the reproductive health and rights of women whose lives are governed by Sharia'h Law (over three years). www.baobabwomen.org

Bayero University, Kano *Kano, Nigeria* \$65,000 in support of institutional strengthening of Minjibir General Hospital. *www.kanoonline.com*

Centre for Development and Population Activities

Washington, DC

\$400,000 in support of education and training on maternal mortality reduction (over three years). www.cedpa.org

Community Life Project Apapa, Nigeria

\$150,000 to increase access to comprehensive reproductive and sexual health for out-of-school youth in Lagos State, Nigeria (over two years).

Girls' Power Initiative, Nigeria *Calabar, Nigeria* \$300,000 to scale up the implementation of the national sexuality education curriculum in Cross River State, Nigeria (over three years).

Global Health and Awareness Research Foundation

Enugu, Nigeria

\$150,000 to implement the Family Life and HIV/AIDs Education curriculum in Enugu State (over two years). www.gharf.org

Management Strategies for Africa Ilford, United Kingdom \$83,000 to increase awareness and provide research and data analysis for policy formulation and implementation in Nigeria. www.msforafrica.org

Management Strategies for Africa Ilford, United Kingdom \$300,000 to improve the policy environment for the implementation of reproductive health programs in Nigeria (over two years). www.msforafrica.org

National Commission on Colleges of Education Abuja, Nigeria \$50,000 to implement the Family Life and HIV/AIDS Education curriculum. www.ncce.edu.ng

OneWorld UK London, United Kingdom

\$300,000 to develop and implement computer and mobile phone–based sexuality education for Nigerian adolescents (over two years). www.uk.oneworld.net

Pathfinder International *Watertown, MA* \$100,000 in support of institutional strengthening of facilities promoting safe motherhood. *www.pathfind.org*

Society of Gynecology and Obstetrics of Nigeria\$250,000 to reduce maternal mortality (over three years).

www.sogon.org

Youth Adolescent, Reflection & Action Center Jos, Nigeria \$275,000 to implement the Family Life and HIV/AIDS Education curriculum in Plateau State (over three years). www.yaracnigeria.org

International

Americans for UNFPA New York, NY

\$50,000 in support of a website aimed at building an online community that supports improving global reproductive health. www.americansforunfpa.org

Brown University, Population Studies and Training Center

Providence, R

\$250,000 to research the potential economic benefits of reductions in fertility (over three years). www.pstc.brown.edu

Center for Health and Gender Equity Takoma Park, MD \$300,000 in support of general operations (over three years). www.genderhealth.org

Center for Reproductive Rights *New York, NY* \$600,000 in support of the International Legal Program (over three years). *www.reproductiverights.org*

Columbia University, Earth Institute New York, NY \$1,500,000 for incorporating sexual and reproductive health into African national development strategies and the Millennium Villages (over three years). www.earth.columbia.edu

Council on Foreign Relations New York, NY \$90,000 in support of an international symposium on repositioning maternal health as a crucial global health and foreign policy concern. www.cfr.org

Harvard University, School of Public Health Boston, MA \$500,000 to develop and implement a maternal morbidity and mortality policy model (over two years). www.hsph.harvard.edu

International Planned Parenthood Federation

London, United Kinadom

\$520,000 to build the capacity of member associations to enhance governance, management, and quality services (over three years). www.ippf.org

International Women's Health Coalition *New York, NY* \$1,000,000 for general operating support (over three years). *www.iwhc.org*

Johns Hopkins University, Bloomberg School of Public Health,
Department of International Health Baltimore, MD
\$200,000 to improve capacity in developing countries to
estimate maternal mortality levels and trends. www.jhsph.edu

Management Systems International Washington, DC \$680,000 to scale up interventions to reduce maternal mortality and morbidity and improve young people's sexual and

reproductive health in Foundation focus countries (over two years). www.msiworldwide.com/index.htm

Partners in Expanding Health Quality and Access

Ann Arbor, MI

\$200,000 in support of building capacity to scale up successfully tested health interventions (over three years). www.expandnet.net

Pathfinder International

Watertown, MA
www.pathfind.org

\$11 million (over four years)



Postpartum hemorrhage is the most common cause of maternal mortality worldwide. This grant will be used to reduce deaths from such hemorrhage in India and Nigeria through preventive measures, such as the use of the drug misoprostol and a neoprene and Velcro anti-shock garment to stabilize patients when hemorrhage occurs. Funds will also be used for training of health care providers, community mobilization and education, and ongoing monitoring and evaluation.

Yale University New Haven, CT

\$150,000 in support of research investigating the contributions of declines in fertility to household economic outcomes in Matlab, Bangladesh (over three years). www.yale.edu

The following five grants represent a one-time package of support for Chicago-based organizations working on population and reproductive health issues.

AIDS Foundation of Chicago Chicago, IL

\$75,000 to disseminate research findings on housing and health among chronically ill homeless populations.

Imagine Chicago Chicago, IL

\$25,000 to use community arts to raise awareness about maternal mortality. www.imaginechicago.org

Local Initiatives Support Corporation Chicago, IL

\$50,000 to integrate best practices in reproductive health care into school-based health centers in Chicago.

www.lisc.org/chicago

Options for Youth Chicago, IL

\$50,000 to evaluate a model program for delaying subsequent pregnancies among adolescent mothers.

Planned Parenthood / Chicago Area Chicago, IL

\$75,000 in support of a comprehensive outreach plan for Latino families in the suburban Chicago area.

www.plannedparenthoodchicago.com

Higher Education

Strong universities and a scholarly infrastructure are critical to Russia and Africa's future. MacArthur grantmaking assists in the development of modern, university-based scientific and social scientific research and training capabilities in Russia. MacArthur works in partnership with the Russian Ministry of Education and Science to support 29 centers of excellence in the sciences and social sciences at Russian state universities. In addition to support for programs at state universities, the Foundation provides grants to three independent institutions for graduate training and research in the social sciences. MacArthur also supports research institutes, scholarly journals, and networks of scholars.

In Africa, the Foundation is providing long-term support to four leading Nigerian universities, helping them to rebuild and upgrade their facilities, curricula, and faculty. The four universities are the University of Ibadan, the University of Port Harcourt, Bayero University Kano, and Ahmadu Bello University. Funding also supports the University of Antananarivo in Madagascar in a collaboration with our conservation grantmaking. MacArthur participates in the Partnership for Higher Education in Africa with six other U.S. foundations to address key regional higher education issues that affect the future of the continent's universities. Approximately 50 African universities are assisted by the work of the Partnership foundations.

As of December 31, 2007, there were 57 active grants in the field of higher education totaling \$42.9 million. Those grants authorized in 2007 are listed below.

Russia

American Councils for International Education Washington, DC \$150,000 to upgrade information and communications security at the European Humanities University (over three years).

www.americancouncils.org

Carnegie Endowment for International Peace Washington, DC \$375,000 in support of the journal *Pro et Contra* (over three years). www.ceip.org

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Center for Independent Social Research and Education

Irkutsk. Russia

\$300,000 to improve social science research and education in universities of Siberia and the Russian Far East (over three years).

Center for Russian Environmental Policy *Moscow, Russia* \$450,000 in support of new mechanisms for developing and implementing Russian environmental policy (over three years).

Centre for Independent Social Research St. Petersburg, Russia \$600,000 to improve policy-relevant social science research and education in Russia (over five years).

European University at St. Petersburg St. Petersburg, Russia \$500,000 in support of development activities (over two years). www.eu.spb.ru/en

Independent Institute for Social Policy Moscow, Russia \$330,000 in support of a sociological data archive and related training program, and the SPERO journal of social policy research (over three years). www.socpol.ru

Institute of Sociology, Russian Academy of Sciences

Moscow, Russia

\$600,000 in support of an educational program in the social sciences and humanities for young Russian regional university faculty and researchers (over three years).

JSTOR New York, NY

\$174,000 in support of electronic access to archived journals in the sciences, social sciences, and humanities for selected universities in Russia (over six years). www.jstor.org

Kharkov Center for Gender Studies Kharkov, Ukraine \$330,000 in support of the Russia/NIS university network in gender studies and activities aimed at consolidating gender studies as a social science field in the region (over three years). www.gender.univer.kharkov.ua/ENGLISH

Research Center "REGION" Ulianovsk, Russia \$250,000 in support of research, networking, and policy studies on youth issues in contemporary Russia (over three years).

Africa / Nigeria

Ahmadu Bello University Zaria, Nigeria \$2,500,000 in support of information technology, library development, and related university strengthening activities (over three years). www.abu.edu.ng

Bayero University

Kano, Nigeria

www.kanoonline.com

\$3.1 million (over three years)

Institute for Policy and Economic Research Abuja, Nigeria \$150,000 for a planning grant to launch a new economic and policy research institute.

Machina Emirate Development Association Yobe State, Nigeria \$30,000 in support of instructional technology and computing at Mai Bukar Memorial Science Boarding School.

University of Ibadan

Ibadan, Nigeria www.ui.edu.ng

\$4 million (over three years)



MacArthur's grantmaking in Nigeria supports the development of higher education as a critical factor in strengthening democracy in Africa's most populous nation. The grant to Bayero University will help establish new departments in nursing and dentistry, where there is a national shortage of trained professionals. Support for the University of Ibadan will assist the university to equip its central research laboratory, support advanced training for faculty members, and expand access to higher education by increasing enrollment in its distance learning program.

Partnership for Higher Education

Institute of International Education New York, NY \$82,500 in support of an evaluation to improve the impact of the Partnership for Higher Education in Africa on strengthening African universities (over three years). www.iie.org

International Foundation for Science Stockholm, Sweden \$160,000 in support of an audit of the science infrastructure base of 15 African universities. www.ifs.se

Nigeria ICT Forum of Partnership Institutions Abuja, Nigeria \$400,000 in support of improving information technology in Nigerian universities (over three years). www.forum.org.ng

Global Migration and Human Mobility

Global human mobility is a defining characteristic of the 21st century. The Foundation supports research and public policy analysis on the governance of international migration and the relation between migration and economic development. In the governance area, MacArthur grantmaking focuses on the norms, standards, and institutional architecture for regulating migration; collecting and analyzing international data; and improving management of migration at global, regional, and bilateral levels. In its grantmaking on migration and development, the Foundation is looking at three main channels through which the global movement of people affects the economies of source and destination countries: worker remittances, skilled and unskilled labor mobility and "brain drain," and diaspora networks. Additionally, the Foundation seeks to address political and social dimensions of migration in source and destination societies.

As of December 31, 2007, there were 29 active grants in the field of global migration and human mobility totaling \$10.9 million. Those grants authorized in 2007 are listed below.

Anchor Institutions

Organisation for Economic Co-operation and Development

Paris, France

\$900,000 in support of policy relevant research and policy dialogue to build effective partnerships on migration governance and development (over three years). www.oecd.org

University of Oxford

International Migration Institute Oxford, United Kingdom \$900,000 in support of a program of research and capacity building on African migration (over three years). www.ox.ac.uk

Governance of International Migration

Ayala Foundation USA Redwood City, CA \$400,000 in support of civil society participation at the 2008 Global Forum on Migration and Development.

Federal Public Service Foreign Affairs Brussels, Belgium \$50,000 to prepare for the Global Forum on Migration and Development. www.diplomatie.be

George Mason University

Center for Global Studies Fairfax, VA

\$200,000 in support of research on global migration and transnational politics (over two years). www.gmu.edu

Harvard University

Center for Middle Eastern Studies Cambridge, MA \$195,000 to study the civil and political participation of Muslims in the Greater Boston area (over two years).

King Baudouin Foundation *Brussels, Belgium* \$152,000 to support civil society participation at the Global Forum on Migration and Development. *www.kbs-frb.be*

Migration Policy Institute Washington, DC \$240,000 to support a series of educational seminars on migration for policymakers from Mexico and the United States. www.migrationpolicy.org

Regional Public Organization Migration Research Center

Moscow, Russia

\$210,000 in support of research and policy analysis on managing migration under conditions of demographic crisis in Russia (over three years). www.migrocenter.ru

University of Oxford Oxford, United Kingdom \$500,000 in support of research and policy dialogue on the global governance of migration (over 33 months). www.ox.ac.uk

Migration and Development

Aspen Institute, Realizing Rights:

The Ethical Globalization Initiative Washington, DC \$200,000 to develop a global code of practice for the international movement of health workers from the developing world to the U.S., U.K., and other receiving nations. www.aspeninstitute.org

Migration Dialogue Davis, CA

\$250,000 to research circular migration and the impacts of free trade agreements on migration (over two years).

www.migration.ucdavis.edu

Princeton University *Princeton, NJ* \$120,000 in support of a longitudinal survey of Mexican migrants in the United States (over one year). *www.princeton.edu*

University of California, Davis

Department of Economics Davis, CA

\$166,000 in support of research on the economic gains from migration in countries of destination in North America and Europe (over two years).

University of Michigan

Gerald R. Ford School of Public Policy Ann Arbor, MI \$590,000 in support of research to test the impact of a variety of novel financial products on the productive use of remittances for development (over two years). www.umich.edu



Program on Human and Community Development

51 Community and Economic Development

Practice

Research and Documentation

52 Stable and Affordable Housing

Housing Preservation Public Housing Housing Policy

54 Juvenile Justice

Models for Change Other Grants

56 Education

DIGITAL MEDIA AND LEARNING Research Learning Environments Social Institutions Field Building Crosscutting Grants Other Grants Chicago

58 Policy Research

Core Institutional Support Policy Project on the U.S. Fiscal Future Policy Project on an Aging Society Policy Project on the Power of Measuring Social Benefits Other Grants

59 Mental Health

Other Grants / New Projects Regional Policy and Practice Other Grants

60 Program-Related Investments

Community Development Finance

Program on Human and Community Development

The relationship among people, place, and systems is at the core of the Program on Human and Community Development's effort to support the development of successful individuals and strong communities in the United States. Through grants and low-cost loans, called program-related investments, MacArthur's domestic program explores two primary themes: the important role of place—home, community, city, region, state, and nation—in people's lives, and the mutual interests of individuals, particularly those in trouble and in need, and society at large. Specific fields of work include community and economic development, housing, juvenile justice, and education.

In the first, the focus is on comprehensive community revitalization and its premise that resilient and sustainable efforts require simultaneous attention to housing, jobs, public safety, land use, education, youth development, and more. Through Window of Opportunity: Preserving Affordable Rental Housing, the Foundation is supporting practical and policy-related efforts to increase dramatically the volume of preservation of affordable rental housing across the country. Other housing activities include an exploration of how housing matters for the American family and local communities. Models for Change: Systems Reform in Juvenile Justice is an effort to make juvenile justice reform a top priority in at least two-thirds of the states. In education, the Foundation is interested in understanding how young people are different because of their use of digital media, a difference likely to be reflected in how they think, learn, make judgments, confront ethical dilemmas, and interact with others—and implications for schools and other formal and informal learning institutions. A series of crosscutting policy projects include research on the implications for American society of an aging society, evidence-based policy options for improving the country's fiscal health, and more informed policymaking through the ability to measure social outcomes for individuals and society of interventions across the full range of policy domains—education, justice, housing, municipal services, saving and investing, health and mental health and other.

This year, MacArthur has continued to deepen its investment in these core areas of grantmaking. With the New Communities Program, the centerpiece of the Foundation's 10-year, \$150 million investment in local neighborhoods, Chicago is leading a national resurgence of interest in comprehensive community revitalization. With an investment of \$140 million, Models for Change is now active in 16 states, enough to lead a national movement for reform. With a total commitment of \$150 million to Window of Opportunity, the effort has now entered a critical phase, with a goal of promoting policies and practices that will make it possible to preserve at least 1 million affordable rental homes in 10 years. Through its interest in digital media and learning, and an initial \$50 million in grants, MacArthur is stimulating and supporting the development of an exciting new interdisciplinary field comprising academics, nonprofit organizations, game and application designers, education professionals, and commercial interests. Special policy projects are resonating with scholars and policymakers, who grapple with questions relating to this country's demographic shifts, fiscal challenges, and hard choices ahead for governments at all levels as discretionary financial resources decline.

For additional information about MacArthur's programs and grantmaking guidelines, see the Foundation's website at www.macfound.org.

> Julia Stasch, Vice President Contact:

> > Program on Human and Community Development jstasch@macfound.org / (312) 726-8000

Community and Economic Development

The Foundation's primary focus is the comprehensive revitalization of 16 Chicago neighborhoods—about half of the low-income communities in the city—through LISC/Chicago's New Communities Program. In addition, the Foundation makes direct grants through three complementary efforts: initiatives in community safety, economic development, and economic security. It also funds documentation, evaluation, and communications about these efforts. Other areas of grantmaking also seek ways to improve these 16 neighborhoods.

The Foundation also has provided support for LISC National's Sustainable Communities Program, through which the Chicago approach is being spread initially to 10 other cities. MacArthur is a founding member of Living Cities: The National Community Development Initiative, a consortium of leading financial institutions and foundations that makes grants and provides technical assistance to revitalize urban communities across the country and seeks to inform the national debate about urban policies.

As of December 31, 2007, there were 30 active grants in the field of community and economic development totaling \$63.9 million. Those grants authorized in 2007 are listed below.

Practice

CEOs for Cities Chicago, IL

\$350,000 in support of general operations (over three years). www.ceosforcities.org

Civic Consulting Alliance Chicago, IL

\$75,000 in support of training and technical assistance for community development practitioners. www.ccachicago.org

Community Renewal Society Chicago, IL

\$75,000 in support of the Children of the Incarcerated Campaign. www.communityrenewalsociety.org

Living Cities: National Community Development Initiative New York, NY

\$2,250,000 in support of community development projects in 23 cities, data collection and analysis of urban markets, and public policy initiatives to strengthen cities and regions (over three years). www.livingcities.org

Local Initiatives Support Corporation

Chicago, IL www.lisc.org/chicago

\$26 million (over five years) and \$4 million (over four years)

MacArthur supports efforts to strengthen communities for the benefit of individuals and families and for the positive contribution that such communities make to their cities and regions. The first grant provides continued support for the New Communities Program, a comprehensive revitalization effort in 16 Chicago neighborhoods. The second grant supports redevelopment in 10 U.S. cities, modeled after the promising efforts in Chicago.

Local Initiatives Support Corporation Chicago, IL

\$250,000 to develop a Web-based social networking application targeting community development professionals. www.lisc.org/chicago

National Center for Victims of Crime Washington, DC \$50,000 to complete production of the report of a national panel on technology as a community engagement tool for crime prevention. www.ncvc.org

Safer Foundation Chicago, IL

\$5,000,000 in support for implementation of Safer Return, a prisoner reentry demonstration in Chicago's Garfield Park neighborhood (over four years). www.saferfoundation.org

Research and Documentation

University of Illinois at Chicago

City Design Center Chicago, IL

\$100,000 to research housing demand in rapidly changing Chicago neighborhoods. www.uic.edu/aa/cdc/files/home1.html

University of Michigan Institute for Social Research Inter-University Consortium for Political and Social Research Ann Arbor, MI

\$63,300 to preserve research materials from the Project on Human Development in Chicago Neighborhoods. www.icpsr.umich.edu

Urban Institute Washington, DC

\$1,500,000 in support of an evaluation and cost-benefit analysis of Safer Return, a prisoner reentry demonstration in Chicago (over three years). www.urban.org

Stable and Affordable Housing

Because stable and affordable housing is critical to successful individuals and vibrant communities, MacArthur supports:

- Window of Opportunity: Preserving Affordable Rental Housing, a \$150 million initiative to facilitate new ownership and preservation of affordable rental housing, call attention to the importance of rental housing, and stimulate new policies that encourage high-volume preservation and improvement of the nation's stock of affordable rental units
- Grants, loans, and other activities that advance public housing transformation, which is creating new mixedincome communities for Chicago families
- Research that focuses on stable and affordable housing as an essential ingredient in successful human and community development

As of December 31, 2007, there were 51 active grants in the field of stable and affordable housing totaling \$22.2 million. Those grants authorized in 2007 are listed below.

Housing Preservation

Aeon Homes Minneapolis, MN

A \$1,500,000 program-related investment to support preservation of affordable rental housing in Minnesota as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.aeonhomes.org

BRIDGE Housing Corporation San Francisco, CA

A \$3,000,000 program-related investment to support preservation of affordable rental housing in California, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.bridgehousing.com

Business and Professional People for the Public Interest Chicago, IL

\$175,000 in support of activities related to the *Preservation* Compact in Cook County (over two years). www.bpichicago.org

Center for Neighborhood Technology Chicago, IL \$300,000 in support of an Energy Savers Program related to the Preservation Compact in Cook County (over three years).

Chicago Rehab Network Chicago, IL

www.cnt.org

\$375,000 in support of technical assistance, organizing, policy and advocacy related to the Preservation Compact in Cook County (over three years). www.chicagorehab.org

Common Ground Community New York, NY A \$2,000,000 program-related investment to support

preservation of affordable rental housing in New York and other areas of the United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.commonground.org

CommonBond Communities St Paul, MN

A \$1,500,000 program-related investment to support preservation of affordable rental housing in the midwestern United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.commonbond.org

Community Builders Boston, MA

A \$2,000,000 program-related investment to support preservation of affordable rental housing in the Northeast, Mid-Atlantic, and Midwest regions of the United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.tcbinc.org

Community Investment Corporation Chicago, IL A \$1,000,000 program-related investment to support the creation of the *Preservation Compact* "energy savers" loan fund (over ten years). www.cicchicago.com

Community Preservation and Development Corporation Washington, DC

A \$2,000,000 program-related investment to support the preservation of affordable rental housing in the Mid-Atlantic region of the United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative (over ten years). www.cpdc.org

Corporation for Enterprise Development Washington, DC \$315,000 to support public education and field-building activities that advance model policies and practices for the preservation of affordable rental housing (over two years). www.cfed.org

DePaul University Chicago, IL

\$3,500,000 in support of a data clearinghouse, research, and a council to coordinate public agencies that are key members of the Preservation Compact in Cook County (over three years). www.depaul.edu

Florida Community Loan Fund Orlando, FL

\$200,000 in support of research, policy analysis, and public education to advance the preservation of affordable rental housing in Florida (over two years). www.fclf.org

Housing Development Corporation Lancaster, PA \$250,000 to support the preservation of affordable rental housing in Pennsylvania (over two years). www.hdcweb.com

Housing Partnership Network Boston, MA \$250,000 in support of general operations (over two years). www.housingpartnership.net

Hudson Institute Washington, DC

\$600,000 in support of research on affordable rental housing (over two years). www.hudson.org

National Church Residences Columbus, OH

A \$3,000,000 program-related investment to support preservation of affordable rental housing across the United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative. www.ncr.org

National Housing Conference Washington, DC \$353,000 in support of conferences, policy papers, and communications related to affordable rental housing. www.nhc.org

Neighborhood Reinvestment

NeighborWorks America Washington, DC \$250,000 to support STRENGTH MATTERS, a collaborative field-building and policy reform initiative to build the scale, sustainability, and financial resilience of long-term affordable

Preservation of Affordable Housing Boston, MA \$250,000 in support of general operations (over two years). www.poah.org

rental housing owners (over two years). www.nw.org

Preservation of Affordable Housing Boston, MA A \$1,000,000 program-related investment to support the preservation of affordable rental housing across the United States, as part of the Window of Opportunity: Preserving Affordable Rental Housing initiative. www.poah.org

Retirement Housing Foundation Long Beach, CA \$250,000 to support the development and implementation of a strategic plan for the preservation of affordable rental housing across the United States. www.rhf.org

Sargent Shriver National Center on Poverty Law Chicago, IL \$250,000 in support of legal assistance, policy and advocacy related to the Preservation Compact in Cook County (over three years). www.povertylaw.org

Stewards of Affordable Housing for the Future Washington, DC \$300,000 to study energy consumption and conservationoriented investments in the existing stock of multifamily affordable rental housing. www.sahfnet.org

ULI Foundation Washington, DC

\$240,000 in support of the Preservation Compact: A Rental Housing Strategy for Cook County. www.uli.org

Urban Homesteading Assistance Board New York, NY \$150,000 in support of policy advocacy and coalition-building to preserve distressed affordable rental housing in New York City (over three years). www.uhab.org

Public Housing

Business and Professional People for the Public Interest Chicago, IL

\$400,000 in support of the monitoring, technical assistance, and advisory role to mixed-income developments under the Plan for Transformation (over three years). www.bpichicago.org

Chicago Housing Authority Chicago, IL

\$200,000 to expand the Family Self-Sufficiency program (over two years). www.thecha.org

Chicago Housing Authority Chicago, IL

\$100,000 to improve the workforce development and social service delivery systems. www.thecha.org

Community Builders Boston, MA

\$150,000 in support of research, design, and business planning to support a new approach to services and programs for residents of mixed-income communities. www.tcbinc.org

Community Renewal Society

Chicago Reporter Chicago, IL

\$150,000 to support in-depth reporting on the resettlement of public housing families in Chicago neighborhoods (over two years). www.chicagoreporter.com

Illinois Facilities Fund Chicago, IL

\$100,000 in support of planning and technical support for the development of a community facility in Chicago's Mid-South and Near West Side areas. www.iff.org

Richard H. Driehaus Foundation Chicago, IL

\$15,000 in support of a charette to help create a concept for a Museum of Public Housing in Chicago. www.driehausfoundation.org

Social Science Research Council New York, NY

\$225,000 to develop a research framework and associated designs to evaluate the effects of mixed-income public housing and other assisted housing communities on resident opportunities and outcomes. www.ssrc.org

Stateway Community Partners Chicago, IL

\$500,000 to sustain a successful case management and service coordination model at a mixed-income development under the Plan for Transformation of public housing in Chicago (over two vears).

Urban Institute Washington, DC

\$70,000 in support of a collaborative planning effort with the National Opinion Research Center to design longitudinal research on public housing residents in Chicago. www.urban.org

Urban Institute Washington, DC

\$70,000 in support of planning a study on the long-term sustainability of HOPE VI developments. www.urban.org

Housing Policy

Joint Center for Housing Studies at Harvard University

Cambridge, MA

\$1 million (over three years)

Nearly all Americans are renters at some point. This grant funds in-depth research on the affordability, availability, and importance of rental housing. The research is intended to inform policymakers and MacArthur's efforts to spur the preservation of affordable rental housing nationwide.

Juvenile Justice

The way in which young people are treated in the criminal justice system is often at odds with research findings about how and when children and adolescents develop mature moral, psychological, and cognitive capacities. Therefore, MacArthur supports research, model programs, policy analysis, and public education to promote a more effective juvenile justice system. Models for Change: Systems Reform in Juvenile Justice is an effort to accelerate systemwide change in Illinois, Louisiana, Pennsylvania, and Washington, with the hope that these states will serve as models for successful reform in other states. In addition to helping states accelerate reform on local issues, the initiative is creating multistate action networks to identify and implement innovative solutions to the challenges of racial and ethnic disparities, juvenile indigent defense, and the mental health needs of young people in juvenile justice systems across the country.

As of December 31, 2007, there were 61 active grants in the field of juvenile justice totaling \$36.3 million. Those grants authorized in 2007 are listed below.

Models for Change – Illinois

John Howard Association Chicago, IL

\$380,000 to track and monitor the progress of implementing reforms in youth corrections under the new Illinois Department of Juvenile Justice as part of the Illinois Models for Change (over two years). www.john-howard.org

Juvenile Justice Initiative Springfield, IL

\$450,000 in support of efforts to improve the juvenile justice system as part of the Illinois Models for Change (over two years). www.jjustice.org

University of Chicago Chicago, IL

\$90,000 in support of an assessment to determine if the City of Chicago's new Juvenile Intervention and Support Center can be the subject of a robust outcome evaluation. www.uchicago.edu

Models for Change – Louisiana

16th Judicial District Attorney's Offices

Family Services Division New Iberia, LA

\$200,000 to develop a model demonstration program for the delivery of community evidence-based services to status offenders as part of Louisiana Models for Change (over two years). www.16thjdc-e.com

9th Judicial District Court, Judges' Office Alexandria, LA \$300,000 in support of activities in Rapides Parish as a local demonstration site in Louisiana Models for Change (over two years). www.rppj.com

Caddo Parish Juvenile Services Shreveport, LA \$120,000 to develop a model for the identification and appropriate referral to treatment of youth with mental health and substance abuse conditions in contact with the juvenile justice system as part of Louisiana Models for Change (over two years). www.caddo.org

Calcasieu Parish Police Jury

Office of Juvenile Justice Services Lake Charles, LA \$50,000 in support of the Children and Youth Planning Board's activities as part of Louisiana Models for Change. www.cppj.net Jefferson Parish Community Justice Agency Jefferson, LA \$350,000 in support of activities in Jefferson Parish as a local demonstration site in Louisiana Models for Change (over two years). www.jeffparish.net/index.cfm?DocID=1160

Louisiana Department of Public Safety & Corrections Youth Services, Office of Youth Development Baton Rouge, LA \$125,000 to coordinate state and local reform efforts as part of Louisiana Models for Change (over 18 months). www.oyd.louisiana.gov

Louisiana District Attorneys Association Baton Rouge, LA \$350,000 for activities in support of the Louisiana Models for Change (over two years). www.ldaa.org

Models for Change - Pennsylvania

Council of Juvenile Correctional Administrators Braintree, MA \$1,100,900 in support of state and local efforts to improve mental health treatment and services to youth in contact with the juvenile justice system as part of Pennsylvania Models for Change (over three years). www.cjca.net

Education Law Center Philadelphia, PA \$240,000 to provide training and technical assistance on aftercare to juvenile justice professionals as part of Pennsylvania Models for Change (over two years). www.elc-pa.org

Juvenile Court Judges' Commission Harrisburg, PA \$300,000 to provide technical assistance and training to judges and probation officers in aftercare as part of Pennsylvania Models for Change (over two years). www.jcjc.state.pa.us

Mental Health Association of Pennsylvania

Pennsylvania Collaborative for Youth Harrisburg, PA \$100,000 in support of state and local efforts to involve families and communities in improving mental health services to juvenile justice-involved youth as part of Pennsylvania Models for Change (over two years). www.mhapa.org

Pennsylvania Department of Education Harrisburg, PA \$540,000 to improve educational outcomes for youth involved in the juvenile justice system as part of Pennsylvania Models for Change (over two years). www.pde.state.pa.us

Philadelphia Department of Human Services Philadelphia, PA \$296,000 in support of the Reintegration Reform Initiative as part of Pennsylvania Models for Change (over two years). www.phila.gov/dhs

Models for Change - Washington

Benton/Franklin Counties Juvenile Court Kennewick, WA \$450,000 to reduce disproportionate minority contact, promote system coordination and integration across the juvenile justice and mental health systems, and create alternatives to formal court processing for truant young people as part of Washington Models for Change (over two years). www.tcfn.org/juvy/benton.htm

Children and Youth Justice Center Seattle, WA \$700,000 to act as a lead entity for Washington Models for Change (over two years). www.ccyj.org

Clark County Juvenile Court Vancouver, WA \$350,000 to reduce disproportionate minority contact, promote system coordination and integration across the juvenile justice and mental health systems, and create alternatives to formal court processing for truant young people as part of Washington Models for Change (over two years). www.clark.wa.gov/juvenile

King County, Washington Seattle, WA \$446,000 in support of reform efforts as part of Washington Models for Change (over two years). www.kingcounty.gov

Pierce County Juvenile Court Tacoma, WA \$400,000 in support of efforts to reduce disproportionate minority contact and achieve system collaboration, as part of Washington Models for Change (over two years). www.co.pierce.wa.us/pc/abtus/ourorg/juvct/abtusjuv.htm

Spokane Juvenile Court Spokane, WA \$125,000 in support of planning for systems integration and truancy reform activities as part of Washington Models for Change. www.spokanecounty.org/JuvenileCourt

TeamChild Seattle WA \$250,000 in support of efforts to improve juvenile indigent

defense as part of Washington Models for Change (over two years). www.teamchild.org

University of Washington Division of Public Behavioral Health and Justice Policy

Seattle, WA

\$400,000 in support of community capacity-building for the identification and treatment of youth with mental health and substance abuse conditions in contact with the juvenile justice system as part of Washington Models for Change (over two vears). www.washinaton.edu

Models for Change – Action Networks

Center for Children's Law and Policy Washington, DC \$1,750,000 to administer and manage the Models for Change Disproportionate Minority Contact Action Network (over three years). www.cclp.org

National Juvenile Defender Center

Washington, DC www.njdc.info

\$1.64 million (over three years)



This grant supports a new national action network to help ensure young people receive the legal protections to which they are constitutionally entitled by improving the juvenile indigent defense system. The Juvenile Indigent Defense Action Network, a project of MacArthur's Models for Change initiative, supports innovative practices and an exchange of ideas among selected states and serve's as a clearinghouse for information.

Policy Research, Inc. Delmar, NY

\$1,500,000 to administer and manage the Models for Change Mental Health Action Network (over three years). www.prainc.com

Models for Change - National Resource Bank

Center for Health Policy Development Washington, DC \$475,000 in support of efforts to expand coverage and improve services under Medicaid for juvenile justice-involved youth in Models for Change states and across the country (over

Coalition for Juvenile Justice Washington, DC \$230,000 for the Second Annual National Models for Change Working Conference. www.juvjustice.org

Juvenile Law Center Philadelphia, PA \$248,500 in support of a project on information sharing to support Models for Change states. www.jlc.org

National Council of Juvenile and Family Court Judges Reno, NV \$1,250,000 for technical assistance, data analysis, and coordination in support of Models for Change. www.ncjfcj.org

National Council of La Raza Washington, DC \$600,000 in support of the Latino Juvenile Justice Network activities in the *Models for Change* states (over three years). www.nclr.ora

Technical Assistance Collaborative Boston, MA \$300,000 in support of training and technical assistance to improve the organization, financing, and delivery of mental health services to juvenile justice-involved youth in the *Models* for Change states (over two years). www.tacinc.org

Models for Change – Research

Temple University Philadelphia, PA \$3,255,000 to oversee and coordinate the design and implementation of research activities related to *Models* for Change (over two years). www.temple.edu

Other Grants

Georgetown University, Georgetown Public

Policy Institute Washington, DC

\$70,000 in support of the creation of the Center for Juvenile Justice Reform and System Integration. www.gppi.georgetown.edu

Grantmakers for Children, Youth and Families Silver Spring, MD \$22,000 in support of general operations (over three years). www.gcyf.org National Center on Addiction and Substance Abuse at

Columbia University New York, NY

\$50,000 in support of a bill of rights for juvenile offenders. www.casacolumbia.org

Princeton University

Woodrow Wilson School of Public and International Affairs

Princeton, NJ

\$182,325 for the publication of a juvenile justice volume of the Future of Children journal. www.wws.princeton.edu

Education

The primary focus of the Foundation's education grantmaking is an effort to explore the hypothesis that increasing digital media use is affecting how young people think, learn, exercise judgment and see themselves, interact with others, and express their independence and creativity. Grants support research and the development of new practical environments for learning, as well as efforts to help build a new interdisciplinary field emerging at the nexus of media and learning.

The Foundation has a long history of support for school reform in Chicago, a commitment that continues through education reform efforts in the neighborhoods that are the focus of revitalization under the New Communities Program, with particular attention to schools that contribute to the success of new mixed-income communities developed as part of the City's Plan for Transformation of public housing.

As of December 31, 2007, there were 45 active grants in the field of education totaling \$30.8 million. Those grants authorized in 2007 are listed below.

DIGITAL MEDIA AND LEARNING

Research

Northwestern University Evanston, IL

\$300,000 to analyze the feasibility of creating a longitudinal quantitative survey of young people's participation with digital media. www.northwestern.edu

Pew Research Center Washington, DC

\$315,000 to support a national survey of adolescent game use and civic participation. www.pewresearch.org

University of California, Santa Barbara, Department

of Communication Santa Barbara, CA

\$260,000 to conduct a survey of digital information credibility assessment among children and young people. www.ucsb.edu

University of Washington Seattle, WA

\$250,000 to research the effect of digital media use on young people's civic engagement. www.washington.edu

Learning Environments

Arizona State University Tempe, AZ

\$1,782,000 to develop approaches to educational assessment that acknowledge learning in a digital media context (over three years). www.asu.edu

Digital Innovations Group New York, NY

\$165,000 for support of the Prototyping Evaluation, Teaching and Learning laboratory to advance the effective use of digital media by nonprofit organizations. www.weblab.org

Global Kids New York, NY

\$103,000 to build the field of digital media and learning by engaging an international youth community in online and multimedia activities that explore everyday use of digital media and advance media literacy skills in partnership with TakingltGlobal. www.globalkids.org

Illinois Institute of Technology Chicago, IL

\$400,000 to develop a prototype of an Electronic Learning Record to track young people's learning experiences across informal environments (over two years). www.iit.edu

Illinois Institute of Technology, Institute of Design Chicago, IL \$430,000 to design and build installations in public libraries that support young people's learning through digital media (over two years). www.id.iit.edu

Indiana University Bloomington, IN

\$1,839,000 to analyze and support efforts to expand the immersive learning environment Quest Atlantis (over three years). www.iu.edu

Indiana University

Center on Congress Bloomington, IN

\$300,000 in support of the Virtual Congress project (over two years). www.congress.indiana.edu/about/featured_vc.html

New School University

Parsons the New School for Design New York, NY \$250,000 for support of the Prototyping Evaluation, Teaching and Learning laboratory to advance the effective use of digital media by nonprofit organizations. www.parsons.newschool.edu

TakingltGlobal Toronto, Canada

\$87,000 to build the field of digital media and learning by engaging an international youth community in online and multimedia activities that explore everyday use of digital media and advance media literacy skills in collaboration with Global Kids. www.takingitglobal.org

University of California, Irvine

Irvine, CA

www.dmlcompetition.org. www.uci.edu

\$2.35 million (over 18 months)

This grant supports an open-call competition to find and reward innovations, demonstrations, writing, and new research that advance the field of digital media and learning. The University will operate, publicize, and support the winners of the competition. More information is available at

Social Institutions

New Visions for Public Schools

New York, NY www.newvisions.org

\$1.1 million (over two years)

200

This grant supports the creation of the Gaming School, a 6th–12th grade public school in New York City that will use gaming, game design, and play to help children learn. The school is a partnership with the Institute of Play, a nonprofit organization that seeks to promote media and gaming literacy as a new foundation for learning, innovation, and change.

Field Building

Common Sense Media San Francisco, CA

\$250,000 to develop a strategy for engaging parents in the public discussion around the impact of digital media on the learning and development of young people.

www.commonsensemedia.org

Digital Innovations Group New York, NY

\$450,000 to develop and operate an online knowledge network to help build the field of digital media and learning (over three years). www.weblab.org

Monterey Institute for Technology and Education Monterey, CA \$200,000 in support of the MacArthur Series on Digital Media and Learning and a new journal for the field.

www.monterevinstitute.org

Monterey Institute for Technology and Education *Monterey, CA* \$2,140,000 to build the field of Digital Media and Learning through a new journal, conferences, and convenings (over five years). *www.montereyinstitute.org*

Northwestern University, School of Communication

Evanston, IL

\$140,000 in support of network analysis to map the field of digital media and learning (over 16 months).

www.communication.northwestern.edu

Crosscutting Grants

Global Kids New York, NY

\$400,000 to explore the role of philanthropy in teen-oriented virtual worlds. www.globalkids.org

University of Southern California

Center on Public Diplomacy Los Angeles, CA \$550,000 in support of a set of activities to explore the potential role of philanthropy in virtual worlds. www.uscpublicdiplomacy.com

University of Southern California

Center on Public Diplomacy Los Angeles, CA \$250,000 in support of the fifth annual State of Play Conference fostering international discussion of the role of philanthropy in virtual worlds. www.uscpublicdiplomacy.com

Other Grants

Climate Cartoons San Francisco, CA

\$30,000 in support of the development of the Digital Toolkit for Global Warming Activism project. www.climatecartoons.org

Princeton University

Center for Research on Child Wellbeing *Princeton, NJ* \$117,675 to publish a volume of the *Future of Children* journal on media technology in the lives of children and youth. *www.princeton.edu*

School of the Arts Foundation West Palm Beach, FL \$100,000 in support of the Arts Innovation through Digital Media Education Program. www.soafi.org

University of Southern California Los Angeles, CA \$400,000 to develop and adapt the Sophie multimedia authoring tool for use in secondary education settings. www.usc.edu

Chicago

Chicago Teachers Union Quest Center Chicago, IL \$35,000 in support of the National Board Certification Program. www.ctunet.com/quest_center

Chicago Teachers Union Quest Center *Chicago, IL* \$700,000 in support of the Fresh Start Program and other core programs (over two years). www.ctunet.com/quest_center

Policy Research

The Foundation's grantmaking in policy research advances two goals: providing information that stimulates policy debate in fresh ways, asking important questions, and encouraging people to consider alternatives; and supporting the creation and adoption of solutions that can bridge, rather than reinforce, ideological differences. The Foundation funds policy research initiatives with broad implications for domestic policy. Currently, four such special projects are under way. The Power of Measuring Social Benefits seeks to strengthen benefit cost analysis in the use of social policymaking to provide better evidence of what programs work and which produce a return to individuals and society. Other projects are exploring U.S. Fiscal Health, the Opportunities and Challenges of an Aging Society, and Regional Resilience. The Foundation also supports a small number of organizations that conduct research and educate policymakers and the public about critical domestic policy issues.

As of December 31, 2007, there were 33 active grants in the field of policy research totaling \$26.2 million. Those grants authorized in 2007 are listed below.

Core Institutional Support

Bazelon Center for Mental Health Law Washington, DC \$900,000 in support of general operations (over three years). www.bazelon.org

National Council of La Raza, Policy Analysis Center

Washington, DC

\$600,000 in support of the Policy Analysis Center (over three years). www.nclr.org

Policy Project on the U.S. Fiscal Future

Brandeis University

Heller School for Social Policy and Management Waltham, MA \$350,000 in support of a project entitled, Family Financial Well-Being in the 21st Century: Strategic Positioning of Data Tools for Policy Impact (over three years). www.heller.brandeis.edu

National Academy of Public Administration Foundation Washington, DC

\$700,000 to establish and jointly staff an expert committee on the Fiscal Future and American Society (over two years). www.napawash.org

National Academy of Sciences Washington, DC

\$1,850,000 to establish and jointly staff an expert committee on the Fiscal Future and American Society (over two years). www.nasonline.org

National Opinion Research Center at the University of Chicago, IL

\$252,000 in support of research on the regional effects of globalization on job quality and volatility and worker inequality and insecurity (over two years). www.norc.uchicago.edu

Roosevelt Institution Washington, DC

\$150,000 in support of policy research on the intergenerational posed by the changes in roles and responsibilities for economic security among individuals, employers, and government (over two years). www.rooseveltinstitution.org

Policy Project on an Aging Society

Columbia University

Mailman School of Public Health New York, NY \$250,000 in support of planning a Research Network on an Aging Society. www.columbia-icap.org

Columbia University

New York, NY

www.columbia-icap.org

\$3.9 million (over three years)



This grant supports a new, interdisciplinary Research Network on an Aging Society to better understand and prepare for the tremendous social, economic, and political impacts of demographic change in the U.S., including the retirement of 76 million Baby Boomers in American society. The Network will produce research and policy formulations and conduct education and outreach.

Policy Project on the Power of Measuring Social Benefits

Coalition for Evidence-based Policy

Washington, DC www.excelgov.org/evidence

\$750,000 (over three years)



As part of the policy project on the Power of Measuring Social Benefit, this grant supports this nonprofit, nonpartisan organization that is sponsored by the Council for Excellence in Government to accelerate the rate at which evidence-based social policies are adopted throughout the federal government.

Harvard Medical School

Department of Health Care Policy Boston, MA

\$230,000 in support of research on the economic and social costs and benefits of mental health treatment and policy (over two years). www.hcp.med.harvard.edu

Johns Hopkins University Baltimore, MD \$750,000 in support of a cost-benefit analysis of the

Experience Corps (over three years). www.jhu.edu

MDRC New York, NY

\$900,000 in support of the Cost-Benefit Analysis of the Foundations of Learning Program (over three years). www.mdrc.org

University of Chicago Chicago, IL

\$185,000 in support of a benefit-cost analysis of extending state care of foster children into early adulthood (over 18 months). www.uchicago.edu

University of North Carolina at Chapel Hill

School of Social Work Chapel Hill, NC

\$750,000 in support of an assessment of the long-term impact of participation in and a cost-benefit analysis of an Individual Development Account program (over three years). www.ssw.unc.edu

University of Washington

Daniel J. Evans School of Public Affairs Seattle, WA \$200,000 in support of a conference on cost-benefit analysis in social policy decision-making. www.evans.washington.edu

Other Grants

Mayor's Fund to Advance New York City New York, NY \$1,000,000 in support of an evaluation of the Conditional Cash Transfer and Family Self-Sufficiency programs (over two years). www.nyc.gov/html/fund/html/home/home.shtml

Mental Health

Almost since its inception, the Foundation has made grants in the field of mental health. The Foundation's current portfolio includes several major interdisciplinary research efforts and related grants in communication. Going forward, grantmaking will acknowledge the fact that people with mental illness can be found in the community, in schools, housing, and, increasingly, in the justice system. Resources will be made available to projects that emerge within the Foundation's grantmaking in these areas.

As of December 31, 2007, there were 17 active grants in the field of mental health totaling \$18.8 million. Those grants authorized in 2007 are listed below.

Columbia University School of Public Health New York, NY \$450,000 to track the performance of the U.S. mental health care system and its effects on the well-being of people with mental illness (over three years). www.mailman.hs.columbia.edu

George Washington University

National Health Policy Forum Washington, DC \$290,000 in support of the National Health Policy Forum (over two years). www.nhpf.org

Harvard University

School of Public Health Boston, MA

\$400,000 in support of the National Scientific Council on the Developing Child (over two years). www.hsph.harvard.edu

Judge Baker Children's Center Boston, MA

\$3,900,000 in support of the Research Network on Youth Mental Health Care (over three years). www.jbcc.harvard.edu

Project Hope-People to People Health Foundation,

Health Affairs Millwood, VA

\$350,000 in support of the coverage of mental health issues in the policy journal Health Affairs (over two years). www.healthaffairs.org

Technical Assistance Collaborative Boston, MA

\$300,000 in support of the Network on Mental Health Policy Research conference as the culmination of the Network's work and the stage for setting a new research and policy agenda for the 21st century. www.tacinc.org

University of Virginia

School of Law Charlottesville, VA

\$750,000 to support the Research Network on Mandated Community Treatment benefit-cost projects (over two years). www.law.virginia.edu

Other Grants / New Projects

University of California Santa Barbara

Santa Barbara, CA www.ucsb.edu

\$10 million (over three years)

This grant supports a comprehensive effort to use the best current knowledge about the human brain to make the American legal system more effective and just by engaging in additional research, educating judges and other legal officials, and creating a new interdisciplinary field for academic research and teaching.

Regional Policy and Practice

Chicago Metropolis 2020 *Chicago, IL* \$750,000 in support of general operations (over three years). www.chicagometropolis2020.org

Council of Great Lakes Industries Ann Arbor, MI \$60,000 in support of research on the economic impact of restoring the Great Lakes ecosystem. www.cgli.org

Metropolitan Planning Council Chicago, IL \$300,000 in support of the Regional Action Agenda (over two years). www.metroplanning.org

Other Grants

Communications Consortium Media Center Washington, DC \$50,000 in support of the Census Project. www.ccmc.org

Free People's Clinic Chicago, IL \$50,000 in support of general operations. www.freepeoplesclinic.org

Latinos United *Chicago, IL* \$125,000 in support of general operations. *www.latinosunited.org*

Literacy Chicago Chicago, IL \$25,000 in support of general operations. www.literacychicago.org

Mikva Challenge Grant Foundation Chicago, IL \$5,000 in support of the Issues to Action project and Civic Activism Fair. www.mikvachallenge.org

Phipps Community Development Corporation New York, NY \$50,000 in support of the new Melrose Community Education Center. www.phippsny.org

Protestants for the Common Good Chicago, IL \$25,000 to establish an Academy for the Common Good. www.thecommongood.org

Providence-St. Mel School *Chicago, IL* \$30,000 in support of general operations. *www.psm.k12.il.us*

State of the USA Washington, DC \$500,000 in support of the State of the USA, a project of the Key National Indicators Initiative.

Program-Related Investments

In addition to its grantmaking, the Foundation makes program-related investments (PRIs), which are low-cost loans and equity investments provided at below-market rates to support charitable activity. PRIs extend the reach of traditional philanthropy by helping recipients attract additional capital from public and private financing sources.

Since 1986 the Foundation has awarded more than \$220 million in PRIs to more than 100 nonprofit and for-profit organizations in the United States and abroad. Today MacArthur PRIs primarily support:

- Community Development Financial Institutions that provide financial services and products to underserved, economically disadvantaged, or distressed people and communities in Chicago and nationwide
- MacArthur's special initiative to advance public housing transformation, which is creating new mixed-income communities for Chicago families
- Window of Opportunity, a \$150 million initiative to preserve and improve affordable rental housing across the country

As of December 31, 2007, there were 49 active program-related investments totaling \$86.8 million. Program-related investments authorized in 2007 that relate to advancing the field of community development finance are listed below. Housing-related PRIs authorized in 2007 are listed under "Stable and Affordable Housing."

Community Development Finance

Calvert Social Investment Foundation Bethesda, MD A \$2,000,000 program-related investment to support the sale of Community Investment Notes™ through MicroPlace, an online marketplace that helps individuals provide belowmarket capital to international microfinance organizations (over ten years). www.calvertfoundation.org

Low Income Investment Fund San Francisco, CA \$250,000 to support a national public policy program to address affordable housing needs and other issues affecting low-income families and communities (over two years). www.liifund.org

Opportunity Finance Network

Philadelphia, PA www.opportunityfinance.net

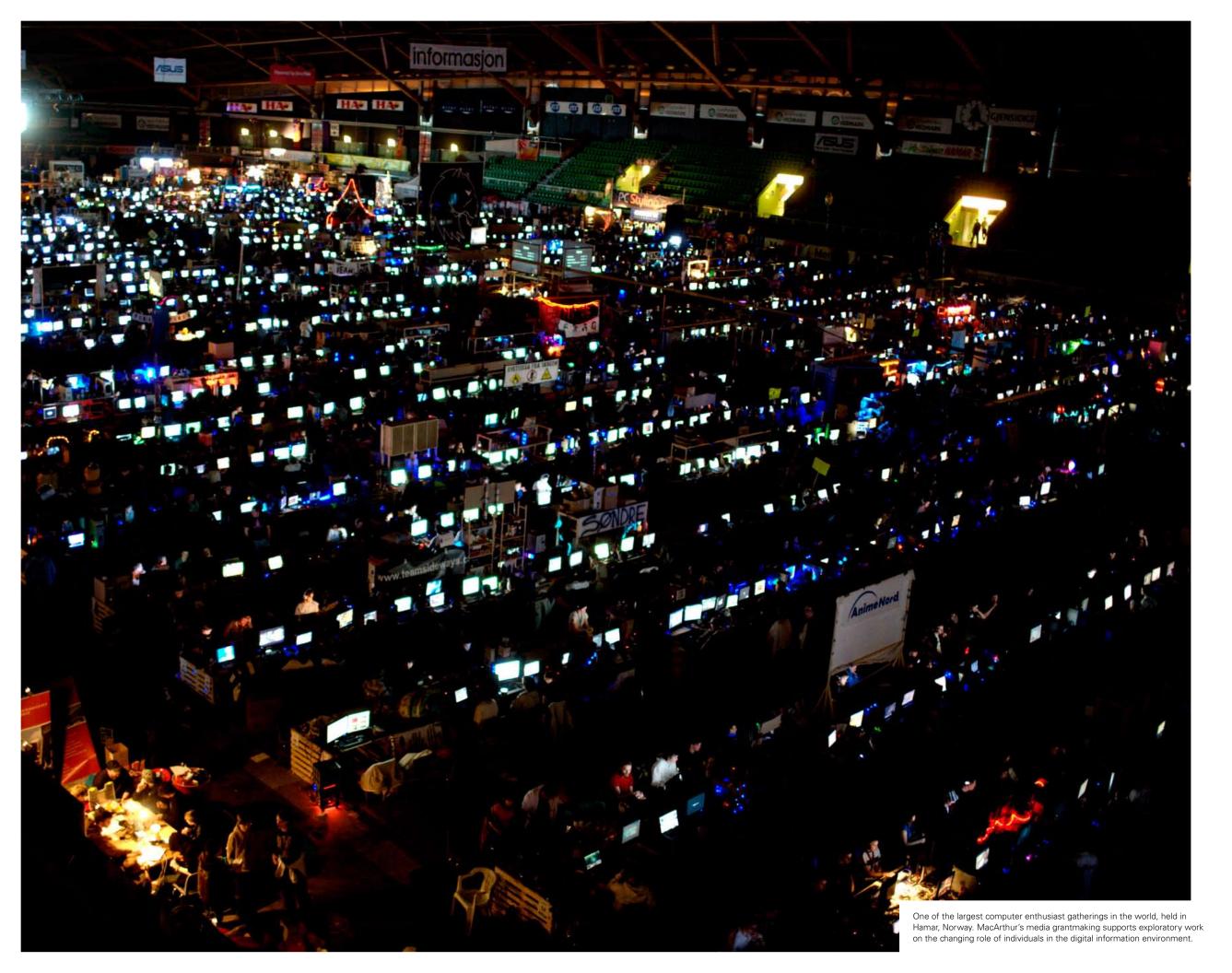
\$25 million program-related investment (over 15 years)

Community development financial institutions (CDFIs) provide more than \$4 billion annually in capital, credit, and financial services to low-income and other disadvantaged communities. This new investment, made in partnership with the Wachovia Foundation, provides major funding for 10 high-potential CDFIs over the next five years through the Next Awards for Opportunity Finance.

University of Southern California Los Angeles, CA \$350,000 in support of a national study to evaluate the community impacts of community development financial institutions (over two years). www.usc.edu

University of Virginia *Charlottesville, VA* \$850,000 in support of business models of successful community development financial institutions (over three years). *www.virginia.edu*

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General Program

- 65 **Institutional Grants**Large Institutional Grants
- 65 Media
 Production and Distribution
 of Nonfiction Content
 Individuals as Producers and Users of
 Information in a Digital Environment
 Other Grants
- 67 Intellectual Property
- 67 Arts and Culture
- 68 Chicago Civic Support
- 69 Technology and Communications
- 69 Other Grants

General Program

Through the General Program, the Foundation funds documentary and news programs for television and radio broadcast to American audiences, especially those that help us better understand the rest of the world. It also supports exploratory work on the changing role of individuals in the digital information environment. The General Program also makes special institutional awards to existing Foundation grantees, including the MacArthur Award for Creative and Effective Institutions that supports small, highly influential organizations around the world. Finally, it awards grants for arts and culture in Chicago and grants to explore challenging and potentially important projects and ideas outside of the Foundation's ongoing areas of interest.

For several years, the General Program has been applying the Foundation's broad interest in digital technologies to its work in media. While it continues to support professional, nonfiction content produced for television, it also encourages the same documentary projects to tell their stories for websites, podcasts, radio, mobile devices, and other media. The Foundation is also interested in the growing ability of citizens to become reporters and filmmakers and to distribute their work on the Internet; the increasing ease of online conversation between individuals in different parts of the world; and the challenges facing information seekers in identifying reliable, accurate information in the digital environment.

Through the General Program, the Foundation regularly explores new ideas—potentially important opportunities that lie outside of our ongoing program work. For example, the Foundation supported the Encyclopedia of Life, designed to make available a web page for every known living species; an expert commission convened to investigate ways to improve the training of development professionals worldwide; and two new research networks—one to explore the ways that the findings of neuro science can be appropriately used by the legal system and the other to look at the impact on societal institutions of an aging U.S. population.

For additional information about MacArthur programs and grantmaking guidelines, visit the Foundation's website at www.macfound.org.

Contact: Elspeth Revere, Vice President

General Program

erevere@macfound.org / (312) 726-8000

Institutional Grants

Since 1997, MacArthur has made one or more substantial grants each year to organizations that conduct work that is central to the Foundation's mission and grantmaking strategies and that are at a stage of institutional development where a substantial one-time investment would be particularly timely.

As of December 31, 2007, there were 17 active institutional grants totaling \$26.4 million. Those grants authorized in 2007 are listed below.

Large Institutional Grants

Brookings Institution Metropolitan Policy Program

Washington, DC

\$5,000,000 to create an endowment. www.brookings.edu/metro

International Crisis Group Washington, DC \$5,000,000 to create an endowment. www.crisisweb.org

Berkman Center for the Study of the Internet & Society

Cambridge, MA

www.cyber.law.harvard.edu/home

\$4 million



The Berkman Center is at the forefront of research, teaching, and model development at the intersection of technology, law, public policy, industry, and education. It studies the Internet and creates and nurtures new entities, including Public Radio Exchange, which distributes quality independent content to public radio stations; Creative Commons, which has built an alternative to full copyright; and Global Voices, which amplifies the voices of the most thoughtful bloggers from around the world. This grant will help build the Center's endowment.

Media

The focus of media grantmaking since 1986, when it began, has been the production and distribution of independent documentary film and video and the support of independent noncommercial radio. MacArthur's longtime goal in media grantmaking is to help ensure the availability to the public of a diversity of serious explorations of issues of importance to the Foundation and to society. The Foundation supports high-quality nonfiction content, emphasizing high-quality nonfiction content produced for television and radio as well as new forms such as websites, podcasts, and mobile devices. The Foundation is also exploring the role of the individual in the new information society, including ways that individuals can contribute to global information sharing and conversations. Finally, the Foundation is exploring challenges facing information seekers in an information- and technology-rich world.

As of December 31, 2007, there were 32 active grants in the field of media totaling \$28.4 million. Those grants authorized in 2007 are listed below.

Production and Distribution of Nonfiction Content

American Documentary, Inc. New York, NY \$1,500,000 in support of PO.V., a documentary series on public television (over three years).

www.pbs.org/pov/utils/aboutamerican.html

Center for Public Integrity Washington, DC \$250,000 in support of general operations. www.publicintegrity.org

Educational Broadcasting Corporation New York, NY \$635,000 in support of the U.S. broadcast of the BBC World News (over three years). www.thirteen.org

Independent Television Service San Francisco, CA \$1,500,000 in support of the digital conversion of ITVS production and distribution processes, and the pilot testing of this conversion with the International Media Development Fund (over three years). www.itvs.org Kartemquin Educational Films Chicago, IL

\$109,000 in support of three short films on MacArthur topics. www.kartemquin.com

ProPublica New York, NY

\$250,000 to support the online component of a new investigative journalism project. www.propublica.org

Renew Media New York, NY

\$500,000 in support of a project to aggregate, digitize, curate, and make available independent media content for online distribution. www.renewmedia.org

Station Resource Group Takoma Park, MD

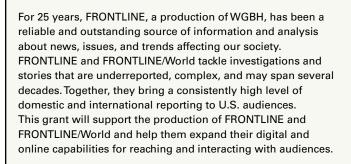
\$600,000 in support of the Public Radio Exchange (over three years). www.prx.org

Frontline

Boston, MA

www.pbs.org/frontline

\$5 million (over 5 years)



WNET Channel 13 New York, NY

\$750,000 in support of Wide Angle, a television series featuring documentary films on international affairs. www.thirteen.org

WNET Channel 13 New York, NY

\$500,000 in support of Bill Moyers Journal, a new weekly public affairs series on PBS. www.thirteen.org

Individuals as Producers and Users of Information in a Digital Environment

Global Center New York, NY

\$450,000 in support of NewsTrust, a project that helps users assess the credibility and accuracy of online journalism. www.newstrust.net

Harvard Law School

Berkman Center for Internet and Society Cambridge, MA \$210,000 in support of a conference and research on citizen journalism. www.cyber.law.harvard.edu/home

Media Standards Trust London, United Kingdom \$350,000 in support of a project to establish and implement a set of Basic Journalism Standards for news reporting (over two years). www.mediastandardstrust.org/home.aspx

OpenDemocracy USA New York, NY

\$40,000 in support of a seminar to engage scholars and practitioners about the topic of online news and information credibility. www.opendemocracyusa.org

University of Southern California

School of Cinematic Arts Los Angeles, CA \$40,000 in support of a conference on Internet video. www.cinema.usc.edu

University of Washington

Information School Seattle, WA

\$140,000 in support of a conference and research on information overload. www.ischool.washington.edu

Other Grants

Association of Independents in Radio Brooklyn, NY \$75,000 in support of general operations (over two years). www.airmedia.org

Grantmakers in Film and Electronic Media New York, NY \$90,000 in support of educational activities (over three years). www.gfem.org

Internews Network Arcata, CA

\$25,000 in support of coverage of the crisis in Burma by Burmese journalists within and outside of the country. www.internews.org

Intellectual Property

This time-limited funding initiative began in 2002 and final grants will be made in 2008. The initiative is designed to address the system of copyright, patent, and trademark in light of technological change. Grants support policy analysis, development of new models, and education designed to balance the needs of the public with appropriate incentives to creators in the digital era.

As of December 31, 2007, there were 20 active grants in the field of intellectual property totaling \$10.1 million. Those grants authorized in 2007 are listed below.

American Library Association Washington, DC \$385,000 to work on the implications of digital copyright for libraries and their patrons (over two years). www.ala.org

Center for Democracy and Technology Washington, DC \$650,000 to support the digital copyright project (over four years). www.cdt.org

Consumers International, London United Kingdom \$250,000 in support of the Trans Atlantic Consumer Dialogue, a project that seeks to represent and articulate a consumer perspective in international intellectual property negotiations (over three years). www.consumersinternational.org

Creative Commons San Francisco, CA \$500,000 in support of Science Commons (over two years). www.creativecommons.org

Electronic Frontier Foundation San Francisco, CA \$600,000 in support of a domestic intellectual property program (over three years). www.eff.org

Intellectual Property Watch Geneva, Switzerland \$725,000 in support of the independent news service, which reports on the processes of intellectual property policymaking at the international level (over four years). www.ip-watch.org

Library of Congress

Congressional Research Service Washington, DC \$185,000 in support of research and educational activities on various intellectual property topics (over three years). www.loc.gov/crsinfo

National Academy of Sciences

Board on Science, Technology, and Economic Policy

Washington, DC

\$200,000 in support of a conference and research on university management of intellectual property since the 1980 passage of the Bayh-Dole act. www.nationalacademies.org/step

Public Knowledge Washington, DC \$675,000 in support of general operations (over three years). www.publicknowledge.org

Public Library of Science San Francisco, CA \$500,000 in support of the open access publishing initiatives (over two years). www.plos.org

Tides Foundation San Francisco, CA \$250,000 in support of Electronic Information for Libraries (eIFL.net), a project that educates librarians in developing countries about copyright issues (over three years). www.tidesfoundation.org

Arts and Culture

MacArthur's support for arts and cultural organizations is an expression of civic commitment to Chicago, the place where the Foundation has its headquarters and John D. and Catherine T. MacArthur made their home. Grants are designed to help sustain the cultural life of the city and region. Grants to arts and cultural organizations with annual budgets above \$2 million are made directly by MacArthur. These grants are usually for multiyear general operating support. Arts and culture grants to organizations with annual budgets between \$500,000 and \$2 million are made through the Prince Charitable Trusts. Arts and culture grants to organizations with annual budgets of \$500,000 or less are made through a special fund at the Richard H. Driehaus Foundation.

As of December 31, 2007, there were 56 active arts and culture grants totaling \$24.2 million. Those grants authorized in 2007 are listed below.

Americans for the Arts Washington, DC \$10,000 to fund the public release of local data from an economic impact study of the nation's nonprofit arts industry. www.americansforthearts.org

Chicago Architecture Foundation Chicago, IL \$250,000 in support of general operations (over five years). www.architecture.org

Chicago Cultural Center Foundation Chicago, IL \$225,000 to update and expand the Chicago Artists' Resource website (over three years). www.cityofchicago.org/culturalaffairs

Chicago Sinfonietta Chicago, IL

\$75,000 to expand www.chicagoclassicalmusic.org, a website run by a consortium of classical music groups (over three years). www.chicagosinfonietta.org

Chicago Symphony Orchestra Chicago, IL

\$500,000 in support of general operations (over five years). www.cso.org

Court Theatre Chicago, IL

\$200,000 in support of general operations (over five years). www.courttheatre.org

Goodman Theatre Chicago, IL

\$300,000 in support of general operations (over five years). www.goodmantheatre.org

Grantmakers in the Arts Seattle, WA

\$45,000 in support of general operations (over three years). www.aiarts.ora

Grant Park Orchestral Association Chicago, IL

\$200,000 in support of general operations (over five years). www.grantparkmusicfestival.com

Harris Theater for Music and Dance Chicago, IL

\$150,000 in support of a subsidized usage program for smaller arts organizations (over three years).

www.harristheaterchicago.org

Hubbard Street Dance Chicago, IL

\$250,000 in support of general operations (over five years). www.hubbardstreetdance.com

Illinois Arts Alliance Foundation Chicago, IL

\$10,000 in support of scholarships to the fifth biennial One State: Together in the Arts conference. www.artsalliance.org

Lincoln Park Zoo Chicago, IL

\$500,000 in support of general operations (over five years). www.lpzoo.org

Lyric Opera of Chicago Chicago, IL

\$500,000 in support of general operations (over five years). www.lyricopera.org

Northlight Theatre Skokie, IL

\$200,000 in support of general operations (over five years). www.northlight.org

Prince Charitable Trusts

Chicago, IL

www.foundationcenter.org/grantmaker/prince

\$5 million (over five years)

Richard H. Driehaus Foundation

Chicago, IL

www.driehausfoundation.org

\$5 million (over five years)

MacArthur's support for arts and culture is an expression of the Foundation's civic commitment to the Chicago area and its conviction that arts and culture are important to sustaining and strengthening the cultural and economic fabric of the city and the region. MacArthur supports smaller arts organizations in Chicago through its partnerships with the Prince Charitable Trusts for mid-sized organizations and with the Richard H. Driehaus Foundation, for smaller groups. These grants will enable suppport over the next five years both to an increased number of arts organizations and at higher amounts than in the past. Funding will also support Driehaus' Small Theater and Dance Group Program.

Steppenwolf Theatre Company Chicago, IL

\$300,000 in support of general operations (over five years). www.steppenwolf.org

University of Illinois at Chicago Chicago, IL

\$10,000 in support of the third annual Richard J. Daley Urban Forum, Building the Future City. www.uic.edu

Writers' Theatre Glencoe, IL

\$200,000 in support of general operations (over five years). www.writerstheatre.org

Chicago Civic Support

In addition to its major support for arts and culture in Chicago and for housing and community development (through the Program on Human and Community Development), the Foundation makes occasional grants for major city or regional projects, to participate in civic collaborations, or for other purposes that benefit Chicago and its region.

Chicago Community Foundation Chicago, IL

\$1,000,000 in support of the 2016 Olympics Fund for Chicago Neighborhoods, which will help the neighborhoods to be affected by the 2016 Summer Olympics participate in the planning for this event and benefit from its implementation. www.cct.org

Chicago Community Foundation Chicago, IL

\$10,000,000 to create the John D. and Catherine T. MacArthur Fund (over three years). www.cct.org

Children First Fund Chicago, IL

\$25,000 in support of Chicago Science in the City.

www.cps.k12.il.us

Donors Forum of Chicago Chicago, IL

\$45,000 in support of the Chicago Global Donors Network (over three years). www.donorsforum.org

Donors Forum of Chicago Chicago, IL

\$300,000 in support of general operations (over three years). www.donorsforum.org

\$225,000 in support of work throughout Illinois (over three years). www.donorsforum.org

Museum of Science and Industry Chicago, IL

Donors Forum of Chicago Chicago, IL

\$700,000 to support planning for Science Chicago, a year-long series of coordinated events designed to inform, educate, and inspire Chicago-area citizens about the importance of science. www.msichicago.org

Technology and Communications

The Foundation makes grants each year to explore the creative use of digital technologies to advance social purposes. A Foundation-wide committee looks for opportunities to support experiments in advancing the strategic diffusion and use of technologies of mobile communications, visual presentations of data, and communication of nonprofitgenerated information and analyses.

Bay Area Video Coalition San Francisco, CA \$150,000 in support of research and development projects utilizing new media technologies, particularly mobile

technologies, for public and community benefit (over two years). www.bavc.org

Harvard Law School

Berkman Center for Internet and Society Cambridge, MA \$50,000 in support of communications planning for the release of data by the Open Net Initiative.

www.cyber.law.harvard.edu/home

Rockefeller University

Program for the Human Environment New York, NY

\$238,000 to support planning and initial organizational and outreach activities for the Web-based Encyclopedia of Life. www.phe.rockefeller.edu

Stanford University Stanford, CA

\$200,000 for the Digital Vision Program to develop and test Frontline SMS, an application that allows nonprofit organizations in developing countries to manage mobile phone

text communications. www.stanford.edu World Resources Institute Washington, DC

\$1,500,000 to expand communications technology (over three years). www.wri.org

Other Grants

Through the General Program, the Foundation makes occasional grants to support projects representing important and timely opportunities outside of its areas of focus.

Associated Colleges of the Midwest Chicago, IL \$45,000 in support of an International College Internship

Program in India and Mexico. www.acm.edu

Funders for Lesbian and Gay Issues New York, NY \$45,000 in support of general operations (over three years). www.lgbtfunders.org

Harvard Medical School

Center for Health and the Global Environment Boston, MA \$25,000 in support of public outreach and distribution of book, Sustaining Life: How Human Health Depends on Biodiversity. www.chge.med.harvard.edu

Internews Network Arcata, CA

\$25,000 in support of coverage of the crisis in Burma by Burmese journalists within and outside of the country. www.internews.org

National Committee for Responsive Philanthropy

Washington, DC

\$50,000 in support of general operations (over two years). www.ncrp.org

MacArthur Award for Creative and Effective Institutions

In 2006, expanding on its tradition of encouraging individual creativity and building effective institutions to help address some of the world's most challenging problems, the Foundation initiated the MacArthur Award for Creative and Effective Institutions. It is often small or emerging organizations that generate provocative ideas, reframe the debate, or provide new ways of looking at persistent problems. Some are particularly effective at delivering services or challenging old paradigms. A significant investment in such promising organizations can contribute to progress on an issue or in an area of work, and can also help position a small or new organization for long-term growth and impact. Foundation grantees from around the world with annual budgets under \$2.5 million are selected for this award; the Foundation does not seek or accept nominations.



Action Health Incorporated Yaba, Nigeria

Protecting the sexual and reproductive health of young Nigerians

\$500,000 to increase the impact of sexual and reproductive health education in Nigeria and the region. Action Health is a prominent voice for adolescent sexual and reproductive health in Nigeria, a country that is home to 1 in 6 Africans and whose influence extends across the continent. Action Health employs a range of strategies to improve the health of Nigerian adolescents, including advocating for improved access to health care and education; working to educate policy makers, community leaders, trade unions, parents and youth on sexual and reproductive health issues; implementing an innovative peer education program; running youth-friendly clinical and referral services; promoting educational resources for youth health and development programming; and working with other youth-serving organizations and governments in underserved communities.



Institute of Law and Public Policy Moscow, Russia
Championing constitutional and legal reform in Russia

\$500,000 to establish a training facility for advanced education of Russian lawyers and to support three new administrative positions. The Institute of Law and Public Policy is Russia's leading non-governmental research, policy, and training institution in the field of constitutionalism and law. Helping to spearhead a growing movement of reform-minded Russian lawyers and scholars, the Institute's programs and campaigns have had a significant impact on the development of democratic institutions and constitutional and legal change in an old nation reinventing itself through new legal and political structures. This work underscores the central role legal ideas and dialogue can have in a nation's political evolution.



Instituto para la Seguridad y la Democracia Mexico City, Mexico Reforming police, strengthening democracy in Mexico

\$500,000 in support of the national center for police accreditation. Helping to revolutionize Mexico's law enforcement, the Institute for Security and Democracy (Insyde) is one of the country's most prominent watchdogs for public security. The organization engages with police commands to adopt new models for holding officers accountable, promotes democratic policing, and encourages police practices that respect human rights. Specifically, Insyde evaluates the systems that police forces use to track performance and respect for human rights and accountability, making recommendations for reform and helping to implement them. It seeks to expand and support the number of organizations working on public security issues. And it trains journalists to cover such issues more accurately and thoroughly.



Kartemquin Films *Chicago, IL* Filming documentaries, changing society

\$500,000 in support of leadership succession and capacity building activities.

Kartemquin Films is known for award-winning documentaries like *Hoop Dreams* and *The New Americans*, but it is more than a production company. It seeks to impact the critical societal issues it portrays as well as to shape the documentary art form. Kartemquin envisions the documentary as "a vehicle to deepen our understanding of society through everyday human drama." Its documentaries are supported by civic engagement strategies developed with local and national partners to spur discussion and build support for social action.





National Housing Law Project Oakland, California

Advocating housing justice for America's most vulnerable

\$500,000 to create a cash operating reserve, develop new talent, and upgrade its communications system. Over four decades, the National Housing Law Project has become a nationwide leader in legal advocacy for some of the lowest-income Americans—those living in federally assisted housing. Predominantly women with children, the elderly, and the disabled, these residents have struggled to hold onto their homes and affordable rents, in the face of gentrification and discrimination. The Law Project has defended existing laws and helped to pass new ones that have preserved more than one million units of affordable housing; enabled hundreds of thousands of low-income households to achieve and retain homeownership; and helped prevent a crisis in the Section 8 voucher program.



Resources Himalaya Foundation Kathmandu, Nepal Protecting biodiversity where earth meets sky

\$350,000 in support of permanent office space and research on the relationship between conservation and development. Stretching across six nations and possessing the earth's highest peaks, the Himalayas are an iconic mountain range known well to mountaineers. They also demonstrate how poverty, tourism and population growth can combine to threaten a region's biodiversity and challenge the resources and abilities of the scientists who seek to protect it. Those scientists are supported by the Resources Himalaya Foundation, which helps to build the skills and knowledge of scientists at national institutions that manage and implement biodiversity programs in the region. Resources Himalaya Foundation offers training in geographic information systems, remote sensing analysis, mapping, field survey methods, and conservation management planning—the latest techniques needed to protect and sustain biodiversity.



Society for Education Welfare and Action - Rural Jhagadia, India Saving the lives of mothers and their babies in India

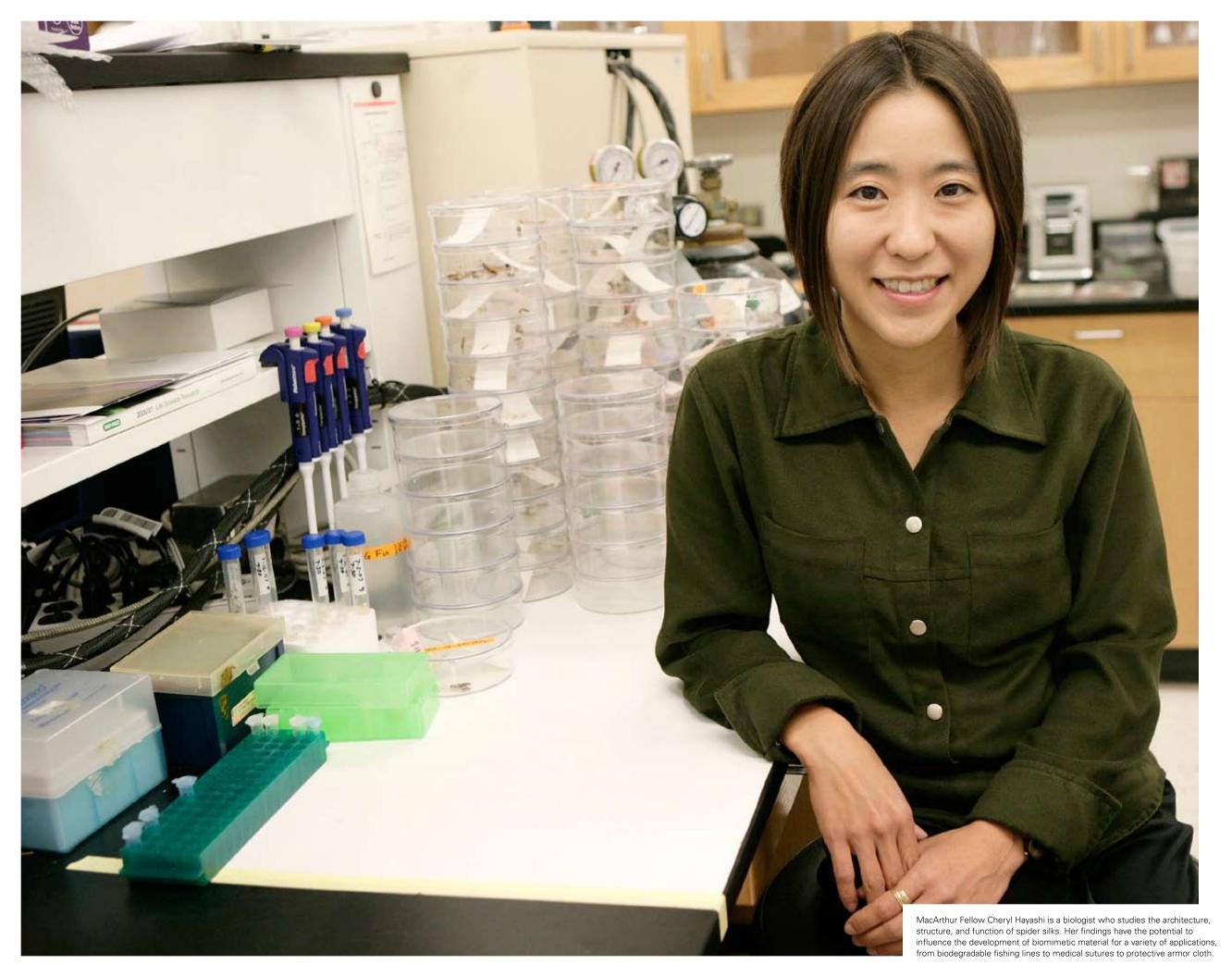
\$350,000 in support of a new training and resource center for maternal mortality reduction in India. More than half a million women die each year as a result of pregnancy and childbirth. In India, one of the world's riskiest countries in which to be pregnant, the government has launched a significant effort to reduce maternal mortality. In the state of Gujarat, SEWA - Rural is developing and replicating a community-based approach to combatting maternal mortality. It provides health education and training to families, communities and front-line health workers—birth attendants, paramedics, doctors and students of medicine, social work, rural studies and health management—on maternal health issues. It works to ensure more institutional deliveries and professional involvement in home deliveries. It conducts research to provide more reliable estimates of maternal mortality and morbidity. And it advocates for maternal health programs with government ministries, policymakers, and service providers.



Woodstock Institute Chicago, Illinois

Increasing and protecting financial assets of low-income people and communities

\$350,000 in support of institution-strengthening initiatives that will upgrade technological capacity, develop and implement a comprehensive strategic plan, and establish a cash reserve. The Woodstock Institute produces research and analysis to help improve the access, availability, and pricing of financial products—such as checking accounts, home mortgages, and small business loans—for people of low and moderate incomes. Its effectiveness comes from its neighborhood commitment, specialized expertise, and broad perspective. It mounts advocacy efforts in policymaking at the local, regional, national and international levels, while keeping its mission grounded in the interests of the low-income communities.



MacArthur Fellows Program

Deborah Bial Peter Cole Lisa Cooper **Ruth DeFries** Mercedes Doretti Stuart Dybek Marc Edwards Michael Elowitz Saul Griffith Sven Haakanson **Corey Harris** Cheryl Hayashi My Hang Huynh Claire Kremen Whitfield Lovell Yoky Matsuoka Lynn Nottage Mark Roth **Paul Rothemund** Jay Rubenstein Jonathan Shay Joan Snyder Dawn Upshaw Shen Wei

MacArthur Fellows Program

The MacArthur Fellows Program awards unrestricted fellowships to talented individuals who have shown extraordinary originality and dedication in their creative pursuits and a marked capacity for self direction. There are three criteria for selection of Fellows: exceptional creativity, promise for important future advances based on a track record of significant accomplishment, and potential for the fellowship to facilitate subsequent creative work.

The MacArthur Fellows Program is intended to encourage people of outstanding talent to pursue their own creative, intellectual, and professional inclinations. In keeping with this purpose, the Foundation awards fellowships directly to individuals rather than through institutions. Recipients may be writers, scientists, artists, social scientists, humanists, teachers, activists, or workers in other fields, with or without institutional affiliations. They may use their fellowship to advance their expertise, engage in interdisciplinary work, or, if they wish, to change fields or alter the direction of their careers. Although nominees are reviewed for their achievements, the fellowship is not a reward for past accomplishment, but rather an investment in a person's originality, insight, and potential. Indeed, the purpose of the MacArthur Fellows Program is to enable recipients to exercise their own creative instincts for the benefit of human society.

Each fellowship comes with a stipend of \$500,000 to the recipient, paid out in equal quarterly installments over five years. The Foundation does not require or expect specific products or reports from MacArthur Fellows and does not evaluate recipients' creativity during the term of the fellowship. The MacArthur Fellowship is a "no strings attached" stipend in support of people, not projects.

There are no restrictions on becoming a Fellow, except that nominees must be either residents or citizens of the United States. The Fellows Program does not accept applications or unsolicited nominations.

For additional information, visit the Foundation's website at www.macfound.org.

Daniel J. Socolow, Director Contact:

MacArthur Fellows Program

fellows-inquiries@macfound.org (312) 726-8000



Deborah Bial Founder and President Posse Foundation New York, New York

Deborah Bial is an education strategist who addresses the challenges of college access for underrepresented populations. Through her Posse Foundation, Bial offers an alternative to traditional admissions metrics in order to identify promising young people from less advantaged, urban environments. Working with public high schools and community organizations, Bial evaluates potential candidates using a rigorous assessment process based on qualities such as leadership, communication skills, and motivation. The most promising students are invited to join a "posse," a small group that participates in a pre-collegiate training program and serves as an essential social support system once students arrive at college.



Peter Cole Co-founder and Co-editor **Ibis Editions** Jerusalem, Israel

Peter Cole is a translator, publisher, and poet who brings the works of medieval Spain and the modern Middle East to English-speaking audiences. His translations of the poetry of Solomon Ibn Gabirol and Shmuel HaNagid, two of the great Hebrew poets of the Andalusian "Golden Age," offer readers a lyrical illustration of the extraordinary Arab-Jewish cultural partnership that flourished in tenth-through twelfth-century Spain. An implicit message of cultural and historical cross-fertilization is also evident in his work as a publisher. His Ibis Editions publishes works translated from Arabic, Hebrew, German, French, and Ladino, enlightening English-speaking audiences to the thriving literary tradition of the Levant.



Lisa Cooper Professor, Division of General Internal Medicine and Department of Epidemiology Johns Hopkins University, School of Medicine and Bloomberg School of Public Health Baltimore, Maryland

Lisa Cooper is a physician and public health researcher who is improving medical outcomes for minorities in the United States. In a landmark 1999 JAMA paper, she offered a rigorous study of interracial patient-physician communication, elucidating failures and fissures in the clinical process. Her research interests also include the diagnosis and treatment of depression among African-Americans and patient-physician communication differences in the delivery of mental health services across ethnic groups. Given the growing population in the U.S. of ethnically diverse consumers, Cooper's skills are key to enhancing the delivery of medical care.



Ruth DeFries Professor, Department of Geography and Earth System Science Interdisciplinary Center University of Maryland / College Park College Park, Maryland

Ruth DeFries is an environmental geographer who uses remotely sensed satellite imagery to explore the relationship between the Earth's vegetative cover and the biochemical processes that regulate the Earth's habitability. One of the greatest uncertainties researchers have faced when analyzing the world's carbon balance has been the extent of tropical deforestation. DeFries and a team of collaborators developed a precise approach to mapping land cover that views the landscape as a continuum of land cover characteristics rather than as discrete classes of forests. With this method, DeFries has compiled datasets that provide a clearer picture of the processes transforming our planet.



Mercedes Doretti Co-founder Argentine Forensic **Anthropology Team** Brooklyn, New York Buenos Aires, Argentina

Mercedes Doretti is a forensic anthropologist who unearths evidence of crimes against humanity and presents critical findings to special commissions around the globe. Doretti co-founded the Argentine Forensic Anthropology Team (EAAF in Spanish) in 1984 to investigate the cases of men, women, and children who disappeared under the military dictatorship that ruled Argentina from 1976 to 1983. Since its inception, Doretti and EAAF have expanded their geographic scope to include more than 30 conflict-torn countries throughout world. From El Salvador to Iraqi Kurdistan, Doretti seeks justice on behalf of populations whose immense losses have been omitted from the historical record.



Stuart Dvbek Distinguished Writer in Residence Northwestern University Evanston, Illinois

Stuart Dybek is a writer who roots his work firmly in Chicago's ethnic neighborhoods, then mines these locales for the dreams, folklore, and fantasies that flourish within. His stories resemble parables, as he pays tribute to the religious and folkloric heritage preserved by the elders who populate his fiction and to writers such as Franz Kafka, Isaac Babel, and Bruno Schulz, Dybek is the author of three books of fiction, Childhood and Other Neighborhoods (1980), The Coast of Chicago (1990), and I Sailed with Magellan (2003), as well as two collections of poetry, Brass Knuckles (1979) and Streets in Their Own Ink (2004).



Marc Edwards Charles P. Lunsford Professor, Department of Civil and Environmental Engineering Virginia Polytechnic Institute and State University Blacksburg, Virginia

Marc Edwards is a civil engineer who is exposing deteriorating waterdelivery infrastructure in America's largest cities. One of Edwards' major projects focused on lead contamination in the Washington, D.C., area's local water supply. This research led to the discovery that the addition of chloramine disinfectant (a widely used replacement for chlorine) in tap water actually increased the incidence of lead leaching in aqueducts. He went on to link several cases of lead poisoning, thought to be caused by lead paint, to local tap water. Now expanding his focus to other cities, he is defining new ways to predict the risk of chemical contamination in urban infrastructure.



Michael Elowitz Assistant Professor of Biology and Applied Physics California Institute ofTechnology Pasadena, California

Michael Elowitz is a molecular biologist who is laying the groundwork for the next stage in the genomics revolution—understanding how genes interact. Elowitz employs a strategy of designing artificial genetic "circuits," first modeling them computationally then introducing the elements in vivo to test their activity. Experimenting with the first synthetic biological oscillator, he surprised many by demonstrating that even relatively simple negative feedback genetic regulation loops can generate complex behavior within a cell. His work revealed that, because of the low concentration of effector molecules, concepts familiar in electronics such as noise and bistability also find currency in explaining gene regulation.



Saul Griffith Co-founding Partner Squid Labs Emeryville, California

Saul Griffith is an inventor whose innovations span industrial design, technology, and science education. As a principal in the firm Squid Labs, Griffith invents across disciplines in the global public interest. While a graduate student at MIT, he designed a membrane-based molding system that can produce a variety of common lenses from a single pair of flexible molding surfaces. At MIT, Griffith co-founded Thinkcycle.org, a web community that has produced a range of socially conscious engineering solutions, such as household water-treatment systems. Griffith is also leading the project design for a human-powered generator to charge electronic devices, promising to revolutionize modern technologies throughout the world.



Sven Haakanson **Executive Director** Alutiig Museum Kodiak, Alaska

Sven Haakanson is the driving force behind the revitalization of indigenous language, culture, and customs in an isolated region of North America. The Alutiig Museum, which he directs, is an archaeological archive and anthropological repository of cultural artifacts of the Kodiak archipelago. Under Haakanson's leadership, the museum serves, as well, as a traveling resource, by boat and small plane, bringing innovative exhibitions and programming to villages throughout the island of Kodiak. As anthropologist, Haakanson also leads a large-scale study of a sacred Alutiig site to identify and archive petroglyphs and stone carvings from the southern coast of Kodiak Island.



Corev Harris Blues Musician Unaffiliated Charlottesville, Virginia

Corey Harris is a guitarist, songwriter, and performer who is leading a contemporary revival of country blues with a fresh, modern hand. He demonstrates his respect for the past and his mastery of the Mississippi Delta blues tradition by interpreting the songs of early blues luminaries in new ways, while also infusing his music with a broad range of sounds and styles. Beginning with his 1995 recording Between Midnight and Day, Harris has explored acoustic, rural blues styles with increasing success. Subsequent recordings, such as Greens from the Garden (1999), Mississippi to Mali (2003), and Daily Bread (2005), reflect Harris' reinterpretations of the African influences on American blues.



Cheryl Hayashi Associate Professor, Department of Biology University of California / Riverside Riverside, California

Cheryl Hayashi is a biologist who studies the structure and function of spider silks. She investigates the molecular genetic basis that gives rise to the protein structures of a variety of silks, each with specific mechanical properties and functions. Her analyses across numerous spider species call into question long-held beliefs about the independent evolution of this behavior. In addition, her examination of sequence differences between individuals of the same species suggests a "modular" mechanism for genetic variation and selection. Already advancing our understanding of spider phylogenetics, her findings also have the potential to influence the development of biomimetic material for a variety of applications.



My Hang Huynh Chemist, High Explosives Science and Technology Group Los Alamos National Laboratory Los Alamos, New Mexico

My Hang Huynh is a chemist who devises novel techniques for synthesizing highly energetic compounds. Energetic compounds such as explosives are employed in a wide variety of applications but pose hazards in two respects: thermostability and environmental contamination. Huynh has developed a new class of reactions based on constituents such as azides and alkynes that address both issues. The thermodynamic properties of substances she has synthesized make them remarkably stable under a wide temperature range, and their structure allows the substitution of toxic heavy metals such as lead or mercury with more benign elements like copper and iron.



Claire Kremen Assistant Professor. Department of Environmental Science, Policy, and Management University of California / Berkeley Berkeley, California

Claire Kremen is a conservation biologist whose applied research advances the fields of ecology, biodiversity, and agriculture. She has used adaptive management and predictive mapping to design and establish protected areas in Masoala National Park, Madagascar's largest nature reserve. In other research in the U.S., Kremen explores the behavior of diverse native pollinators (primarily bees) and the environments that sustain them. Her results indicate that the ability of a community of native bees to pollinate farm crops adequately is dependent on their access to natural habitats, underscoring the importance of restoring and protecting natural environments on farms.



Whitfield Lovell Painter/Installation Artist Unaffiliated New York, New York

Whitfield Lovell is an artist whose poetic and intricately crafted tableaux and installations pay tribute to the daily lives of anonymous African-Americans. Inspired by images from his archive of photographs, tintypes, and old postcards, Lovell creates meticulously rendered, life-sized, charcoal portraits of these obscure figures on such wooden objects as sections of walls, fences, and barrels. He places these portraits in the context of found, everyday objects-frying pans, spinning wheels, bed frames, and clocksto reveal the individual through items related to his or her life and to evoke a haunting sense of presence.



Yoky Matsuoka Associate Professor, Department of Computer Science and Engineering University of Washington Seattle, Washington

Yoky Matsuoka is a roboticist working at the intersection of computer science, biomechanics, and psychophysics to create prosthetic devices and rehabilitation strategies. In one line of research, she constructed an anatomically correct robotic hand designed to respond to sensor signals closely resembling neural commands. Another project involves the use of virtual environments and visual feedback to distort recovering stroke patients' perceptions of tasks they perform during therapy. This system encourages subjects to push beyond perceived limitations to their range of motion and strength, thereby increasing the efficacy of rehabilitation. Through these and other projects, Matsuoka is making technological advances that hold life-changing potential for those with reduced functional capabilities.



Lynn Nottage Playwright Unaffiliated Brooklyn, New York

Lynn Nottage is a playwright who portrays periods of American history from unexpected vantage points. Her early works, including Crumbs from the Table of Joy, Mud River Stone, and Por'Knockers, reveal her rich poetic imagination as she crafts complex characters of a kind that have garnered little notice among other writers and historians. A more recent work, Intimate Apparel, is the story of a young black seamstress working her way through the social confines of early 20th-century New York. Nottage's imaginative exploration of history and ability to find resonance in unexpected moments in the past have made her a powerful voice in theater.



Mark Roth Scientist, Basic Sciences Division Fred Hutchinson Cancer Research Center Seattle, Washington

Mark Roth is a biomedical scientist whose research ranges from the molecular mechanisms of genetic regulation to whole-animal physiology to medical diagnostics. In his early work, Roth developed an important clinical assay for diagnosing the autoimmune disease systemic lupus erythematosis (SLE) and obtained FDA approval for its use. More recently, Roth has established that conditions such as anoxia and chemicals such as carbon monoxide can, when carefully controlled, induce a reversible metabolic suspension (suspended animation) in some animals. This research offers the possibility of new clinical strategies for conditions where temporary reduction in metabolism would provide time for physicians to address underlying problems.



Senior Research Fellow.

Paul Rothemund

Departments of Computer Science and Computation and Neural Systems California Institute of Technology Pasadena, California

Paul Rothemund is a technologist whose research focuses on the fabrication of large molecules that reliably self-assemble into complex, arbitrary, programmable shapes. Using experimental systems based on macroscopic plastic tiles or DNA, he demonstrated that geometric shapes that are important in computer science can be constructed by self-assembly. Most recently, he used long stretches of DNA from a virus that attacks bacteria along with carefully constructed "helper" DNA fragments to create complex, arbitrary shapes, such as a map of the Americas. With these images, Rothemund illustrates the potential for self-assembly methods to generate nanoscale molecules that offer an extraordinary degree of flexibility and control over their geometry.



Jay Rubenstein

Associate Professor. Department of History University of Tennessee / Knoxville Knoxville, Tennessee

Jay Rubenstein is a medieval historian elucidating 12th-century texts that grapple with the meaning of the First Crusade (1095–1099). In his book Guibert of Nogent, Portrait of a Medieval Mind (2002), he examined the sermons and crusade chronicles of an early 12th-century French monk whose major work, the *Monodies*, has long fascinated scholars as the first Western autobiography since Augustine's Confessions. In subsequent work, Rubenstein has explored the larger canvas of crusade histories. His essays on the literature of the crusades form part of an ongoing project that will trace the effects of this conflict on Europe's political, religious, and literary culture.



Jonathan Shav Staff Psychiatrist Department of Veteran Affairs Outpatient Clinic Boston, Massachusetts

Jonathan Shay is a clinical psychiatrist who combines treatment of combat trauma with his interpretations of Homer's *lliad* and *Odyssey* to deepen our understanding of the effects of warfare on the individual. His book, Achilles in Vietnam: Combat Trauma and the Undoing of Character (1994), draws parallels between the depiction of the epic warrior-hero Achilles and the experiences of the veterans he treats. In Odysseus in America: Combat Trauma and the Trials of Homecoming (2002), Shay focuses on the veteran's experience upon returning from war. Committed to minimizing veterans' psychological trauma, Shay also strives for structural reform of the ways the U.S. armed forces are organized, trained, and counseled.



Joan Snyder Painter Unaffiliated Brooklyn, New York

Joan Snyder is a painter whose abstract works defy categorization and traverse genres. Beginning with her early "stroke" paintings-intense swaths of color painted over pencil-drawn grids—her works have been essentially narratives of both personal and communal experiences. After abandoning formal grids as the basic structure of her paintings, Snyder's work became more explicitly gestural and complex materially, as she incorporated texts and found objects - sticks, feathers, and nails. Through a fiercely individual approach and persistent experimentation with technique and materials, Snyder has extended the expressive potential of abstract painting.



Dawn Upshaw Master Vocalist Unaffiliated Bronxville, New York

Dawn Upshaw is a vocalist who is stretching the boundaries of operatic and concert singing and enriching the landscape of contemporary music. Her expansive repertoire includes the sacred works of Bach; the major opera roles of Mozart; modern works by Stravinsky, Poulenc, and Messiaen; American popular songs; and new music by a broad range of contemporary composers. Out of a career rooted in traditional opera and recital performances, she has become a catalyst for the creation of numerous works and is forging a new model of a performer who is directly involved in the creation of contemporary music.



Shen Wei Founder and Artistic Director Shen Wei Dance Arts

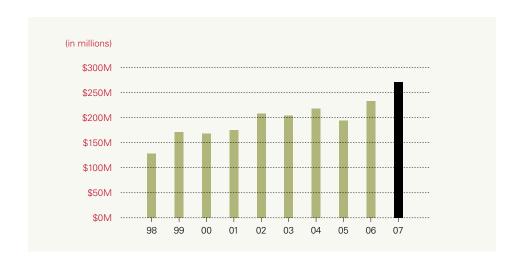
New York, New York

Shen Wei is a choreographer who combines Eastern and Western influences to create a bold form of dance-theater. He and his dancers perform highly stylized steps and gestures inspired by Western dance traditions as well as Chinese opera, acrobatics, and martial arts. With the compositional rigor of a visual artist, he incorporates vivid colors, striking costume design, and imaginative use of space into theatrical works that are, at once, kinetic paintings. Staging his dances on a grand scale with a high level of production detail, Shen defies expectations with each new work.

Financial Information

In 2007, the John D. and Catherine T. MacArthur Foundation paid out \$266.8 million in grants and program-related investments to organizations and individuals in the United States and around the world. Actual cash paid out varies from year to year depending on payment schedules of larger grants.

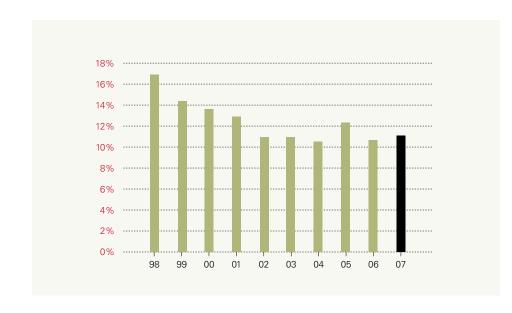
Payout by Year, 1998-2007



Total dollars paid in 1998: \$130,519,437.

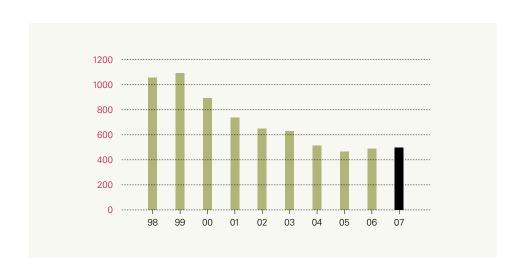
Total dollars paid in 2007: \$267,232,035.

Administrative Expenses by Year, 1998-2007

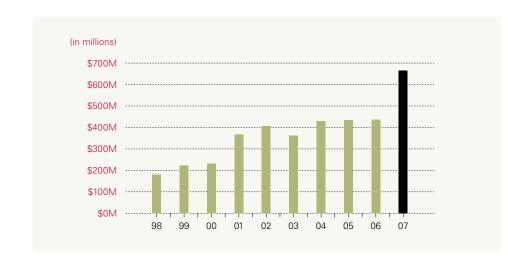


MacArthur's charitable administrative costs totaled \$29.6 million in 2007, about 11.3 percent of total charitable expenditures.

Grants Authorized by Year, 1998-2007



Average Grant Size to Organizations by Year, 1998–2007



To focus its grantmaking and increase its impact, MacArthur has reduced the number of grants while increasing the size of the average grant. In 2007, the Foundation authorized 482 grants to organizations. The average size of grants was \$646,000.

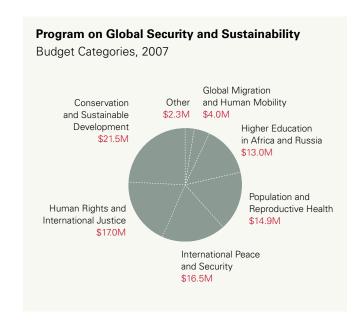
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Payout by Program, 2007



Program Budgets by Field, 2007

Program budgets are approved by the board and reflect the Foundation's plan for the upcoming year. Working pursuant to these budgets, the Foundation approves grants and makes expenditures during the year. We share these budgets so that you can better understand the Foundation's priorities. Elsewhere in this annual report, we also provide information about grants authorized and actually paid during the year in carrying out this budget plan.







Investment Summary

As of December 31, 2007, MacArthur's assets totalled \$7.05 billion. The Foundation's investment portfolio had a return of 19.4 percent in 2007 net of investment management costs.

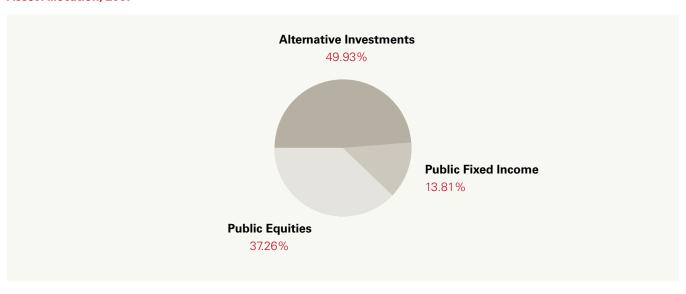
The Foundation invests for the long term with the objective of earning a real rate of return, net of expenses, sufficient to fund its charitable giving and operations. The underlying principles of MacArthur's investment approach may be summarized as follows:

- The Foundation maintains a broadly diversified portfolio with allocation to a variety of asset classes, both public and private.
- The broad diversification, combined with rigorous analytical research, is intended to enable the Foundation to manage risk so that it can perform well in strong markets while protecting against substantial capital loss in weak markets.
- The Foundation seeks to achieve strong net returns over time at a cost comparable to other institutions with similar asset allocations.

Total Assets and Rate of Return, 2003-2007

	Assets	Rate of Return
2003	\$4,530,410,640	25.98%
2004	\$5,034,822,738	17.01 %
2005	\$5,492,269,240	15.47%
2006	\$6,178,196,933	18.04%
2007	\$6,955,813,000	19.37%

Asset Allocation, 2007



The Foundation's most recent audited financial report and tax return (990-PF) are available online at www.macfound.org under "About the Foundation" or by contacting the Chief Financial Officer, Marc Yanchura, at (312) 726-8000 or 4answers@macfound.org.

Our Commitment to Fairness and Courtesy

The MacArthur Foundation is committed to ensuring that grant-seekers, grantees, and others of the public have access to clear and up-to-date information about the Foundation and its programs, and are treated with fairness and professional courtesy. To this end we are committed to providing:

- Understandable, updated descriptions of grantmaking goals and strategies;
- Clear procedures for submitting a grant request to the Foundation;
- · Prompt and courteous responses to all inquiries;
- · Fair and expeditious consideration of grant requests;
- · Clear policies and procedures for submitting grant reports;
- A named contact at MacArthur for applicants or grantees.

If you feel that you have not been treated with fairness and professional courtesy at any time, you are invited to bring your concern to the pertinent staff member, the vice president in

charge of the program or area, or to the president. Alternatively, a senior staff person has been designated to receive and address concerns about our process. He is Arthur Sussman, Vice President of the Foundation, who can be reached at (312) 726-8000 or asussman@macfound.org.

Mr. Sussman will ensure that the matter is understood and addressed, where appropriate, by relevant Foundation staff and will report back to the concerned person. If asked, he will keep confidential the name and organization of the person submitting the feedback. Please note that Mr. Sussman's role does not extend to providing a second venue for the appeal of decisions about grant proposals. It is the program staff, including program officers, directors, vice presidents, and the president, who decide the substantive merit of proposed projects, and it is the program staff who decide whether to reconsider a proposal, once declined.

We hope this additional way of registering concerns about our adherence to professional standards will be useful.

How to Apply

Letter of Inquiry

The first step in learning if grant support from MacArthur is available is to thoroughly review the grantmaking guidelines that are on the Foundation's website. At the end of the description of each grantmaking area is a brief item about the availability of grants. If support is available, the next step is to submit a cover sheet and a letter of inquiry about the work being proposed. Send it by mail to the Office of Grants Management at the Foundation's Chicago address. The letter of inquiry can also be sent by e-mail to 4answers@macfound.org.

Letter of Inquiry: Cover Sheet

In order to expedite a letter of inquiry, it is important that it include a cover sheet with the following information.

- Information regarding who will carry out the work
- Name of your organization (and acronym if commonly used)
- · Name of parent organization, if any
- Name of chief executive officer or person holding similar position
- Organization's address (and courier address if different)
- Organization's phone number, fax number, and e-mail address, if any
- Name and title of the principal contact person, if different from the above
- Address (and courier address if different), phone number, and fax number of principal contact
- E-mail address of principal contact
- Web address, if any

Letter of Inquiry: Format

There is no set format, but letters of inquiry generally include the following:

- Name or topic of the proposed project or work to be done
- A brief statement (two or three sentences) of the purpose and nature of the proposed work
- The significance of the issue addressed by the project and how it relates to a stated MacArthur program strategy
- How the work will address the issue
- How the issue relates to your organization, and why your organization is qualified to undertake the project

- Geographic area or country where the work will take place
- Time period for which funding is requested
- Information about those who will be helped by and interested in the work and how you will communicate with them
- Amount of funding requested from MacArthur and total cost (estimates are acceptable)

The Foundation Response

We will send you an acknowledgment that your letter of inquiry was received, and we will direct it to the appropriate staff members for review. If, as a result of that review, the Foundation concludes that there is no prospect of MacArthur funding, we will notify you promptly. Inquiries eligible for grant support will be acknowledged upon receipt at the Foundation; the review process for eligible inquiries can take up to eight weeks.

As is now the case with most charities in the United States who make grants to organizations based outside the United States, the Foundation checks the names of foreign-based grantees, and the principal officers and directors of such grantees, against one or more lists maintained by the U.S. government, the European Union, and the United Nations, which contain the names determined by such entities to be terrorist organizations or individual terrorists. This process is a result of legislation passed by the U.S. Congress, Executive Orders issued by the President, and suggested guidelines issued by the U.S. Department of the Treasury. A memorandum on this topic is available upon request. [awaiting GMRI/Legal]

All material submitted becomes the property of the MacArthur Foundation. The Foundation sometimes submits inquiries or proposals to confidential outside review.

Deadlines

With few exceptions, as noted elsewhere, there are no fixed deadlines.

What the Foundation Does Not Support

Please note that the Foundation does not support political activities or attempts to influence action on specific legislation. We do not provide scholarships or tuition assistance for undergraduate, graduate, or postgraduate studies; nor do we support annual fundraising drives, institutional benefits, honorary functions, or similar projects.

Board of Directors

As of May 1, 2008

























- 1 Robert E. Denham is chair of MacArthur's board. He is an attorney with the law firm of Munger, Tolles & Olson LLP,
- 2 Lloyd Axworthy is the president and vice-chancellor of the University of Winnipeg. He served as Canada's Minister of Foreign Affairs from 1996–2000. In 2004, he was appointed as the UN Secretary-General's Special Envoy for Ethiopia-Eritrea to assist in implementing a peace deal between the East African countries.

specializing in corporate, financial, and strategic issues. He is

the former chair and chief executive officer of Salomon, Inc.

- 3 John Seely Brown is the former chief scientist of Xerox Corporation and former director of Xerox Palo Alto Research Center. Mr. Brown co-founded the Institute for Research on Learning, which explores the problems of lifelong learning. He is currently a visiting scholar and advisor to the Provost at the University of Southern California and is the independent co-chairman of Deloitte's new Center for Edge Innovation.
- 4 Drew Saunders Days, III, is Alfred M. Rankin Professor of Law at the Yale Law School and of counsel to the law firm of Morrison and Foerster LLP, specializing in Supreme Court and appellate practice. He is a former solicitor general of the United States (1993–1996) and former assistant attorney general for Civil Rights (1977–1980).
- 5 Jonathan F. Fanton has been president of the John D. and Catherine T. MacArthur Foundation since September 1, 1999. Previously, he was president of New School University in New York City and vice president for planning at the University of Chicago, where he taught American history.
- 6 Jack Fuller was president of Tribune Publishing (1997–2001) and on its board of directors from 2001 until he retired in 2004. In 1986, he won a Pulitzer Prize for his editorials in the *Chicago Tribune* on constitutional issues. He is the author of *News Values: Ideas for an Information Age* and six novels.

- 7 Jamie Gorelick is a partner in the Washington office of WilmerHale. She has previously served as a member of the 9/11 Commission, as Deputy Attorney General of the United States and as General Counsel at the Department of Defense, among other positions.
- 8 Mary Graham is co-director of the Transparency Policy Project at Harvard's Kennedy School of Government. Her current research focuses on the strengths and weaknesses of transparency systems as means of furthering public priorities. She is the author of Full Disclosure: The Perils and Promise of Transparency (with Archon Fung and David Weil), Democracy by Disclosure and The Morning After Earth Day. She has written for the Atlantic Monthly, Financial Times, Issues in Science and Technology, Environment magazine, and other publications.
- 9 Donald R. Hopkins, M.D., M.P.H. is associate executive director for health programs at the Carter Center, a nonprofit, nongovernmental organization based in Atlanta. He is responsible for leading public health efforts such as the Center's worldwide Guinea-worm eradication initiative and its efforts to fight river blindness and trachoma in Africa and Latin America. Formerly, he served for 20 years at the Centers for Disease Control and Prevention. He is the author of *The Greatest Killer: Smallpox in History.*

- 10 Will Miller is chairman and chief executive Officer of Irwin Financial Corporation of Columbus, Indiana, an interrelated group of financial services companies serving consumers and small businesses across the United States and Canada.
- 11 Mario J. Molina is a professor at the University of California, San Diego (UCSD), with a joint appointment in the Department of Chemistry and Biochemistry and the Scripps Institution of Oceanography. He is a member of the U.S. National Academy of Sciences and the Institute of Medicine, and of the Pontifical Academy of Sciences. Mr. Molina received the Tyler Ecology & Energy Prize in 1983, the Nobel Prize for Chemistry in 1995, and the UNEP Sasakawa Award in 1999.
- 12 Marjorie M. Scardino is chief executive officer of Pearson, an international education and media group headquartered in London, England, whose primary business operations include the Financial Times Group, Penguin Books, Pearson Education, and half of the Economist Group. Before joining Pearson, she was chief executive officer of the Economist Group and, prior to that, she and her husband founded a weekly newspaper in Georgia and won a Pulitzer Prize in 1984 for its editorial writing.

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Associate General Counsel

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Lisa Montez

Paralegal

Debby L. Little Legal Specialist

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In June 2008, Drew Saunders Days, III, retired from the board of the MacArthur Foundation after 11 years of service. He chaired the board's budget committee early in his tenure and, most recently, chaired the committee on domestic grantmaking.

Drew brought to MacArthur a keen analytical ability and insatiable intellectual curiosity developed during his distinguished career in law and teaching. While he was deeply committed and contributed enormously to the Foundation's programs in juvenile justice and international justice, he was interested and involved in all aspects of MacArthur's work.

Through his quiet leadership and humanity, Drew set high standards of excellence in the governance of the Foundation and helped the board focus on how MacArthur's work impacts people. Whether with Foundation colleagues in Chicago or with MacArthur grantees around the world, he always asked probing questions in a quiet manner that opened up conversations and led to new insights about the complex issues at hand.

The MacArthur Foundation expresses its gratitude and heartfelt appreciation to Drew for his service.

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